Reporting Grants and FAADC

What is FAADC?

- Financial Assistance Award Data Collection (FAADC)System developed to collect assistance information regarding grants, payments, loans, insurance, and agreements via direct entry on the web, XML business services submissions, or a combination of these methods

- Using standard data elements, formats, and validation (i.e., for DoD, FAIN numbering rules, among others)

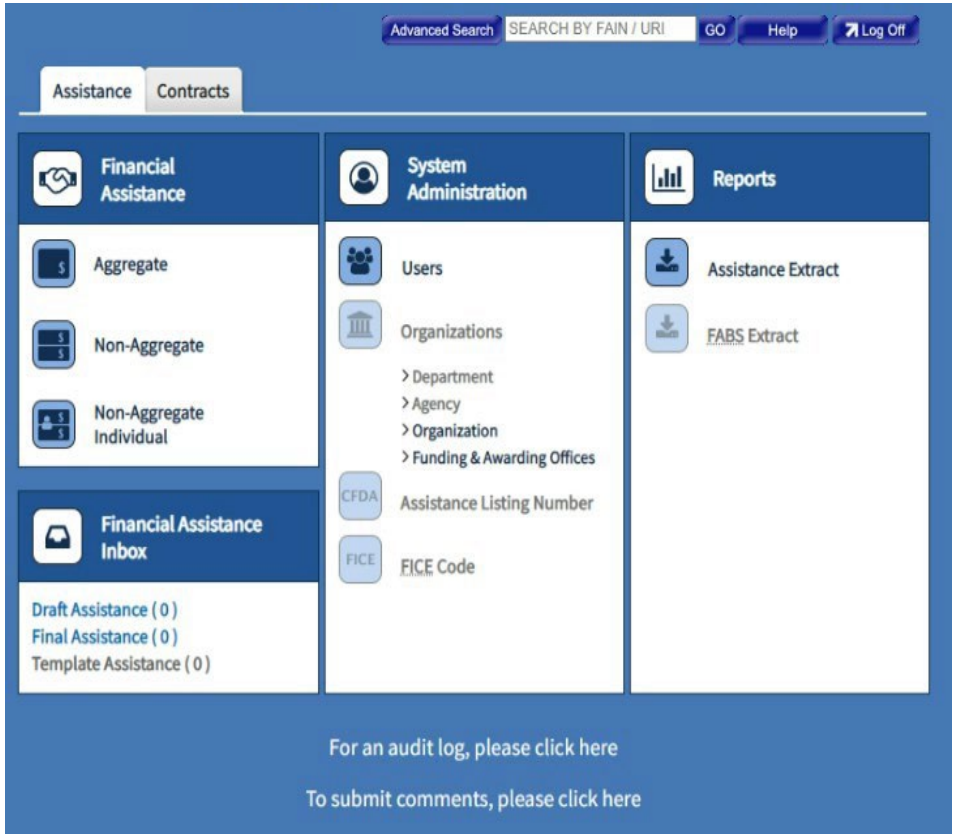
Overview

- Built in the Federal Procurement Data System (FPDS) environment
- Users that already have access to FPDS only need an additional FAADC privilege on their profile
- There are three awarding systems that are certified and are currently integrate with FAADC:
  - SPS (All DoD), ConWrite (AF), and PPS (ONR)
- Batch submission is not supported in FAADC.
- CWS can interface via Business Services Handshake.

Submitting FAADC Data to USASpending.gov

- **Report Frequency to FAADC**
  - Users should submit their data within **15** days of award obligation
  - Required in USASpending.gov within **30** days of award obligation
  - DoD Award Data submitted on **4th** and **19th** of every month

- **Data issues found during submission**
  - Non-user related errors: Legal Business Zip Code, Carriage Return issue on Assistance Description, Primary Place of Performance Code – System Generated
  - User related errors: Reporting data under “Aggregate” or “Non-Aggregate Individual” Record Types - wrong.



Where to Run Reports for FAADC Data?

Extracting FAADC Data

Currently, there are three ways to extract FAADC data:

Directly from FAADC –Assistance Extract Module

- Users with FAADC roles can access

From PBIS Reports

- Request Access from DPC

From USASpending.gov

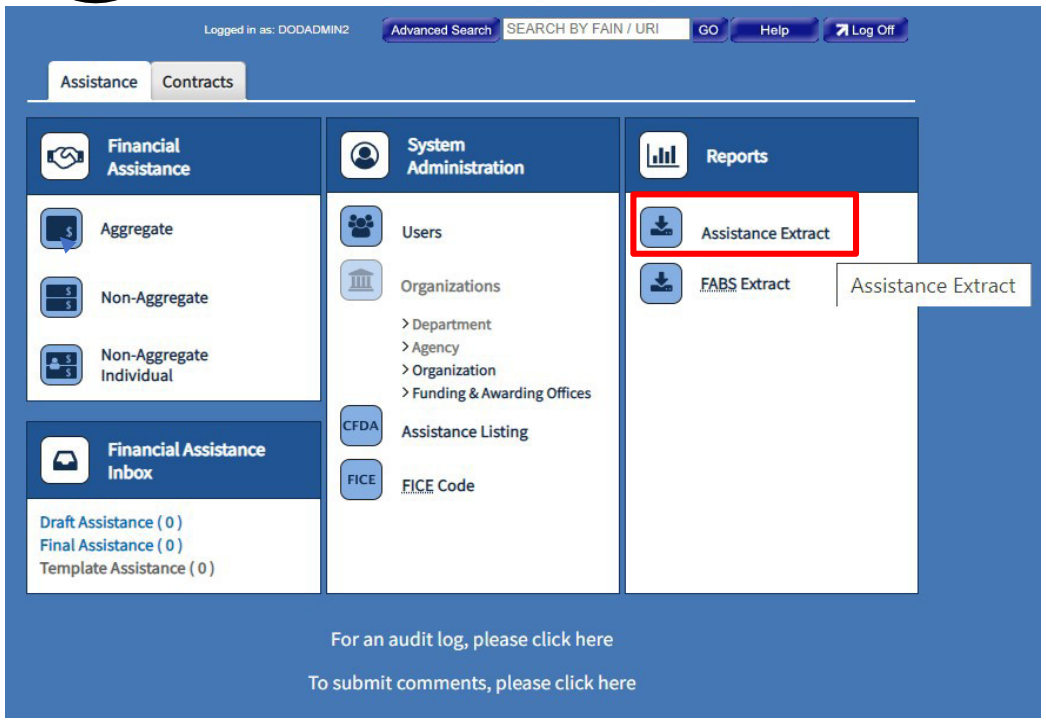
- Any user can access

From SAM.gov FAADC AD HOC Reports

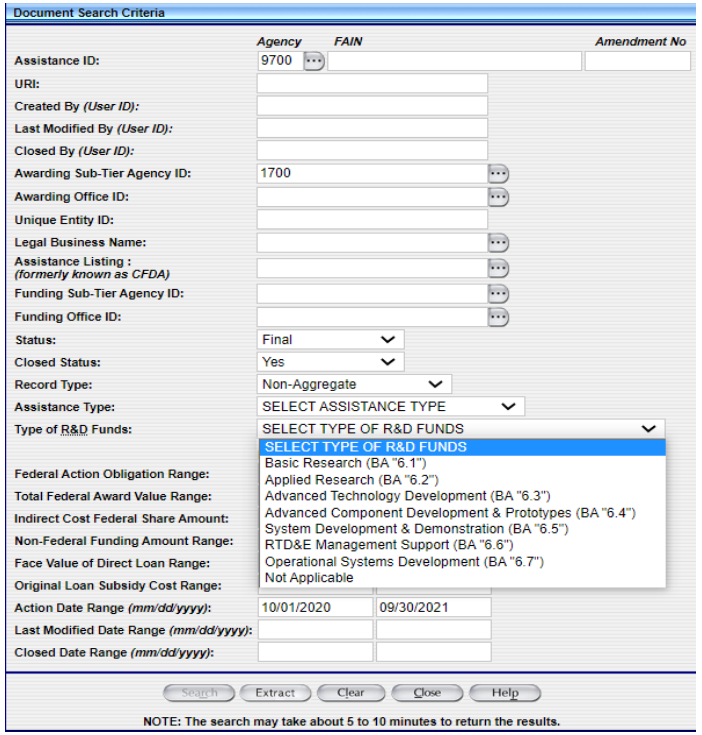
- User with Contracts Data Admin role can access

Extracting FAADC Data from Assistance Extract

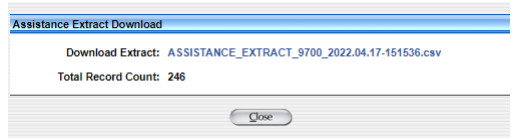
1 Select Assistance Extract



2 Select search criteria



3 Download



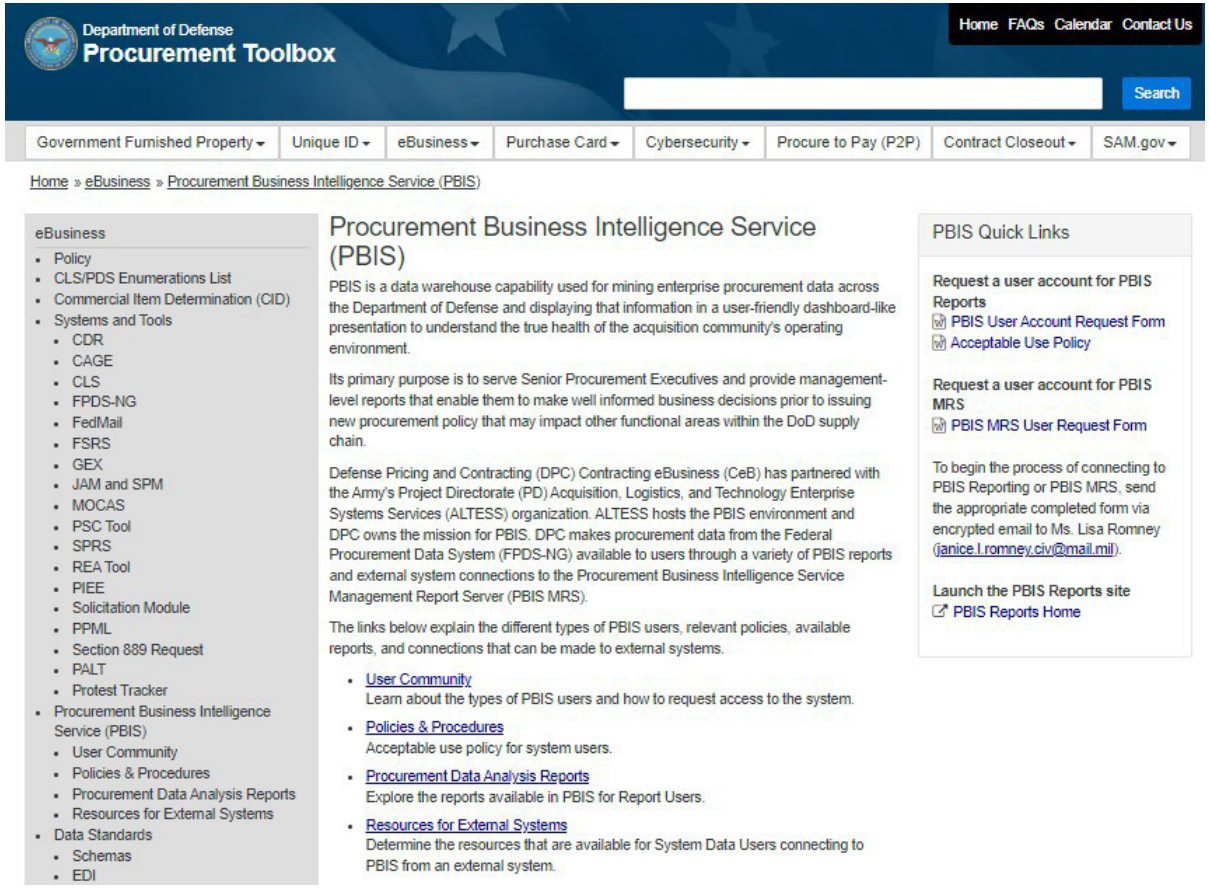
Extracting FAADC Data from PBIS

Request a user account for PBIS Reports

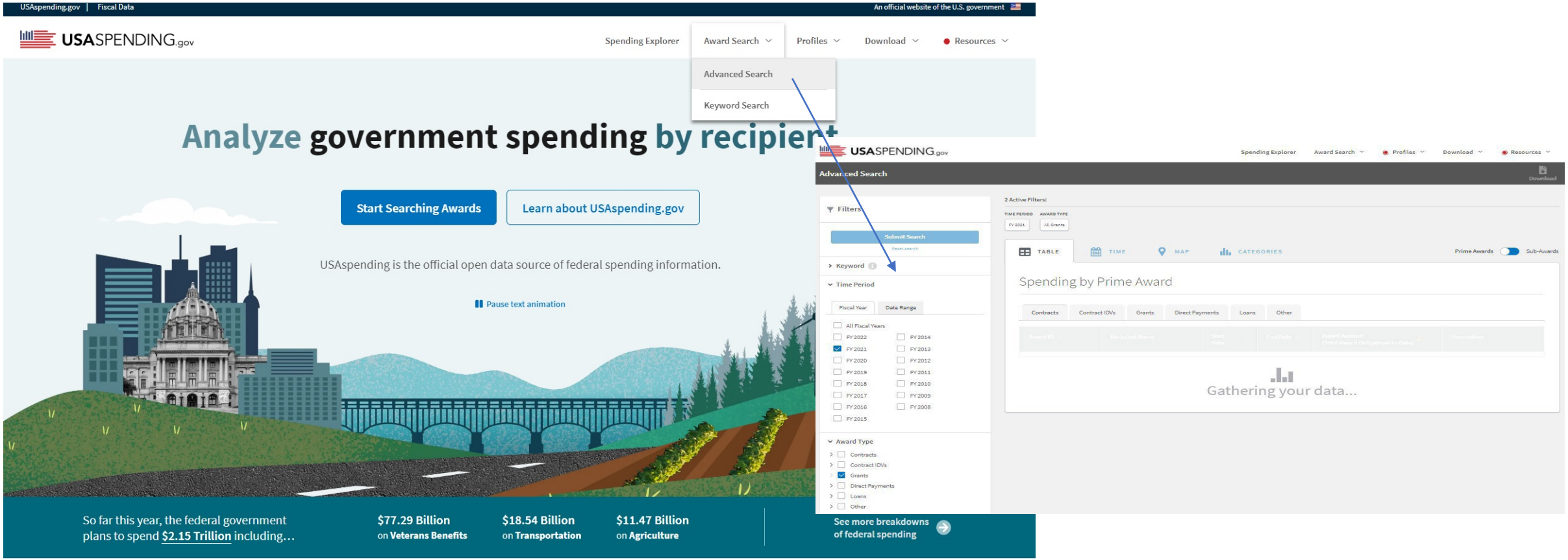
- https:// dodprocurementtoolbox.com/site -pages/pbis

Submit form via encrypted email:

- Ms. Lisa Romeny (janice.l.romney.civ@mail.mil)

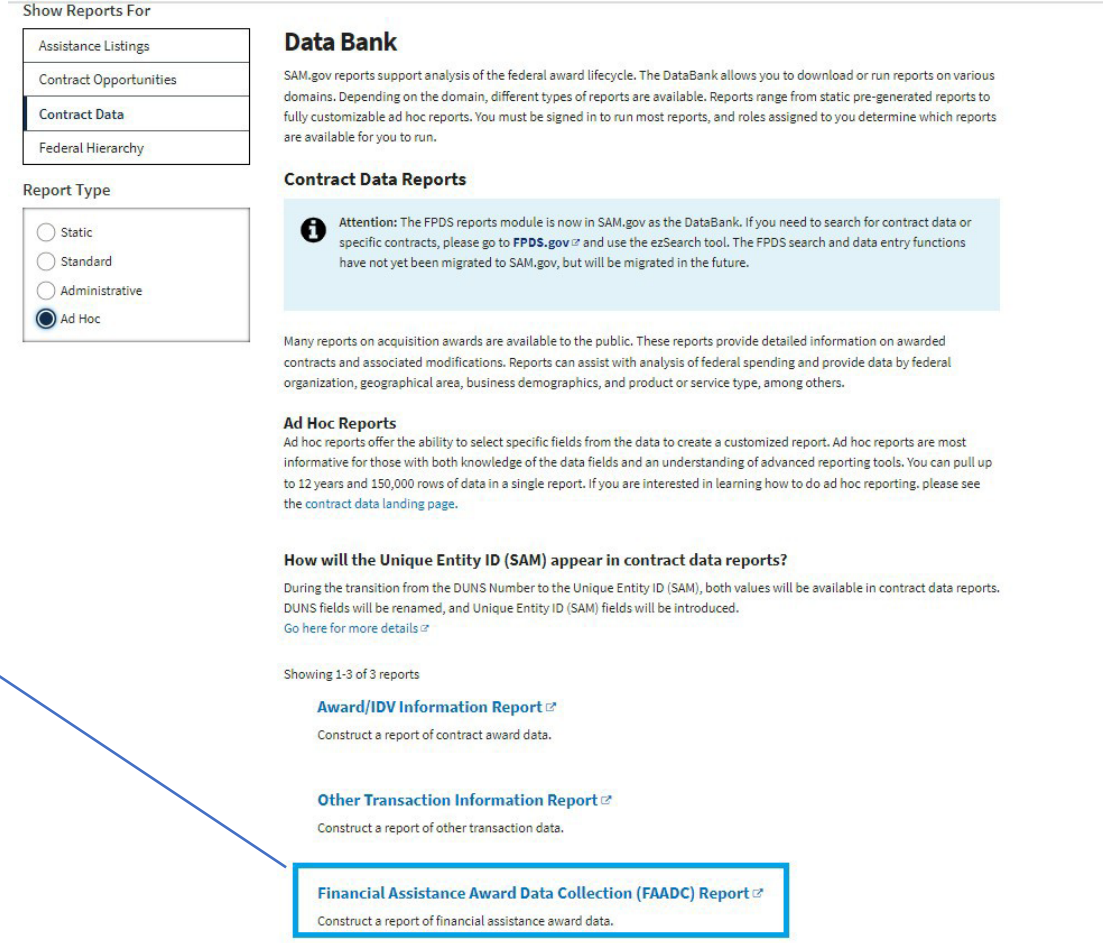


Extracting FAADC Data from USASpending.gov



Extracting FAADC Data from SAM.gov

- User requires CONTRACTS DATA ADMIN role to access FAADC AD HOC.
- Admin roles can only be requested. Contact your SAM Agency Admin to request the role.



FAADC Current Status

- **SAM.gov is the authoritative source for Unique Entity ID**
- **Service Pack 12.0 was deployed on January 29th to add 2 new data elements:**
  - Indirect Cost Federal Share Amount
  - Funding Opportunity Goals Text
- **Service Pack 13.0 was deployed on April 1st**
  - Remove the DUNS from FAADC award forms and reports
  - SAM Exception entities are required to go to SAM to generate a UEI value, for record creation purposes in FPDS/ FAADC.
  - 'SAM Exception' label as updated to '2 CFR Part 25 Exception' for versions 1-.0 in FAADC.
  - XML tags on web services and the atom feed were not changed.
  - 'CFDA' data element was renamed to 'Assistance Listing 'with the note (formerly known as CFDA).
  - Funding Opportunity Goals Text
- **Service Pack 15.0 was deployed on October 29th**
  - Users are no longer required to select the Business Types options when FAR 4.1102 (FPDS) and 2 CFR Part 25 (FAADC) Exceptions are selected.
  - Suppress the validation rule "FAADC:4G3": "Indirect Cost Federal Share Amount" should be less than or equal to Federal Action Obligation
- **Service Pack 16.0 was deployed on January 28th**
  - New validation rule (FAADC:5A2) implemented to prevent the value 'Funded by Recovery Act' from being selected for data element "Business Fund Indicator" in FAADC: If Action Date is June 28, 2019 or later, then "Business Fund Indicator" cannot be 'Funded by Recovery Act'.

FAADC Current Status

- **For Atom Feeds:** As part of the transition from DUNS to UEI, the Entity Data Source transitioned from D&B to SAM.gov. The ‘Entity Data Source’ (entity Data Source’ tag) data element was implemented in UEI Phase 1 (FPDS V1.5 Service Pack 9)

- FPDS and FAADC records finalized as of 4/2/2022 that contained a DUNS value will be defaulted to have a value of ‘D&B’ for the ‘Entity Data Source’ data element. This update was made to all existing records in the system internally. The ‘Last Modified Date’ of the records was not updated; therefore, these changes were not pushed downstream. ATOM FEED users shall update their systems records if they choose.

- For each downstream system that plans to populate data for the <entityDataSource> data element/tag, systems should default the value ‘D&B' in this field for any Contract Actions or Assistance Awards (that have a DUNS and with an Action Date of 4/2/2022 or earlier).

- The value for the 'Entity Data Source field’ is sourced from either the SAM extracts or SAM API (for SAM exception contract actions), and automatically populated for records.

FAADC Current Status

Data Dictionary, Validation Rules, Certification Process, XML specifications and WSDLs updates are available at: FPDS Worksite Portal
https://www.fpds.gov/fpdsng_cms/index.php/en/worksite.html

Service Pack Release and Data Management History: FPDS Status Portal
https://www.fpds.gov/fpdsng_cms/index.php/en/status.html

*FAADC Data Standard Schema is in the works.

After 4/2/2022, only Version 1.1 of the Assistance and Assistance Deleted Atom Feeds will be available for use.

Lessons Learned

FAADC Data Entry Lessons Learned

- NEW AWARD or Continuation have a MOD ZERO
  - Only when a new Award Number needs to be created as a continuation of another award. Not a common practice.
- Missing Base Award on an ACTIVE due to migration issue.
  - Contact our office to request historical data review and upload.
- FICE Issues. Users should select ‘999999’ for FICE code on Entities (Recipients) that do not have one assigned at time of award
- How to resolve Deleting and Recreating an Award errors:
  - This FAIN was deleted and must be published in USASpending before reusing it

FAADC Data Entry Lessons Learned

Deleting and Recreating an Award:

- Users should check the FABS SENT DATE field.
- If a date shows, it means the record was sent to USASpending.gov as a valid award.
  - Proceed to Delete.
  - Before Recreating the award, users need to contact Jovanka Caton for further action and resolution.
  - Our office will submit a file with the deleted record to USASpending.gov, to mark it for removal from the system.
  - Once completed, our office will send an email to the user clearing them to proceed with recreating the award.
- If the FABS Sent Date is blank, it means the record was not sent to USASpending.gov, and the user can proceed to delete and recreate immediately.

FAADC Data Entry Lessons Learned

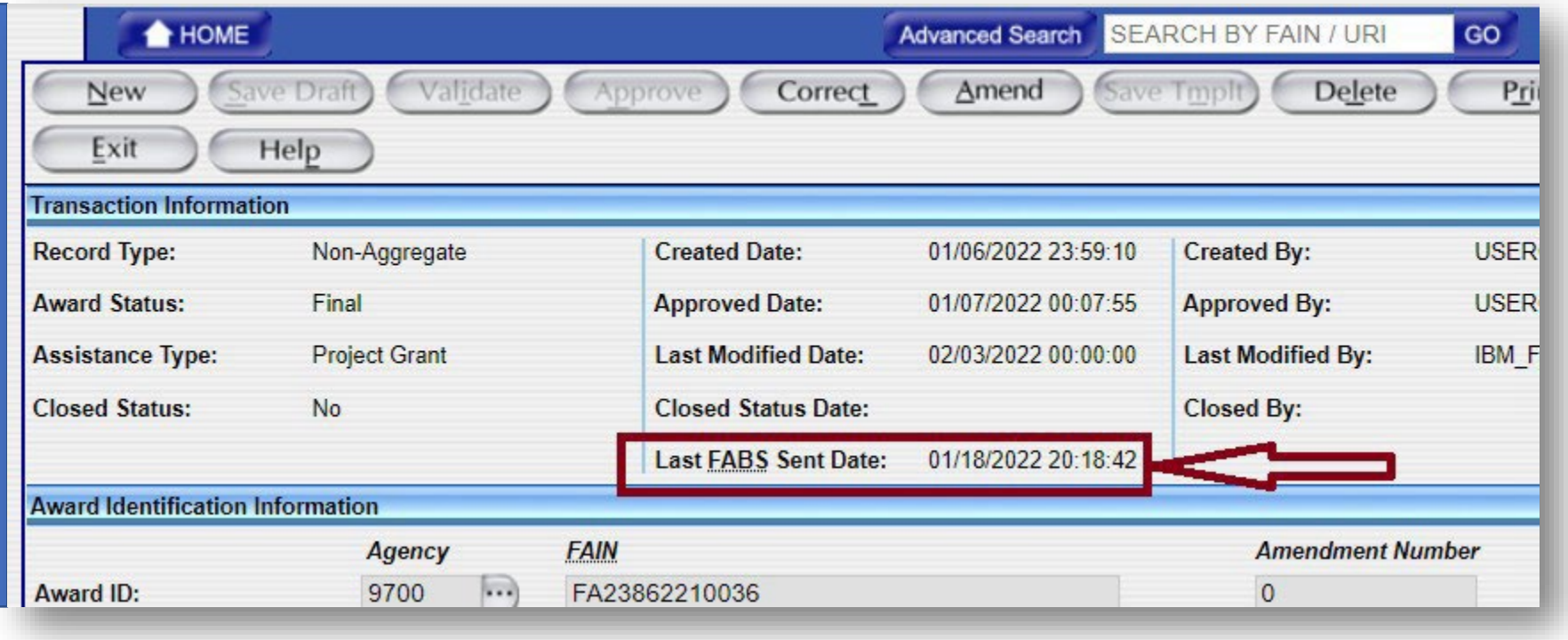
- Correcting an Assistance Listing (formerly CFDA) on a new Award:
  - If the award is finalized, and has not been sent to USASpending.gov, then the user can CORRECT the CFDA on the Base Award.
  - If the award is finalized and, by this time, sent to USASpending.gov, then the user must DELETE the award and recreate it.

- Correcting an Assistance Listing (formerly CFDA) on a Migrated Record:

  - If the award is a migrated record (from legacy system), with multiple amendments, user should contact our office (jovanka.y.caton@dla.mil), for additional support.
  - Our office will submit a Data Management (DM) fix request to the FAADC technical team.
    - At this point, the technical team will update the Assistance Listing on the record, to the new code.
    - This internal process will ensure that the Assistance Award and corrected Assistance Listing get updated in USASpending.gov.

FAADC Data Entry Lessons Learned

Before Deleting and Recreating an Award, User must check the “Last FABS Sent Date” data field. If it has a Date, it means this award was sent to USASpending.gov



FAADC Data Quality

What we need from components:

- Close out financial assistance awards in which performance has ended.
- Follow the Close-Out Worksheet the Grants Working Group put together.
- Policy Office Email:osd.pentagon.ousd-r-e.mbx.dod-grants-policy-office@mail.mil
- Correct/Validate/Finalize or Delete any DRAFT record for your Agency.
- Use the Advanced Search or Assistance Extract tools within FAADC.
- DoD Users should **only select NON-AGGREGATE** Record Type to report/submit Assistance data to FAADC.
- Non-Aggregate Individual and Aggregate Record Types do not accept Entity Information.
- Clean-up your data: Identify any anomalies your active data may have and resolve them in a timely manner. Reduce sending bad data to USASpending.gov.

FAADC Anomaly Reports

We are developing some potential anomaly reports that can assist in enhancing the accuracy of the data reported in FAADC.

These reports should be able to assist Agencies in resolving data integrity issues for the DoD Grants community.

Anomaly Report Descriptions:

- *Competed but One Proposal/Application –*

This report includes awards that are marked as COMPETED but showing "1" under Number of Proposals / Applications.

- *Invalid Funding Agency Used–*

This report include awards that were reported with the wrong Funding Agency/Office from DFAS. DFAS office should not be used as a Funding office since they are the Payment office for an award.

FAADC Anomaly Reports

- **Funded by Recovery Act**
  - This report include awards that were reported with“Funded by Recovery Act Business Fund Indicator, which hasn’t been an active ACT for years
  - These awards were coded wrong and should be corrected.
- **Record Type Non-Aggregate Individual**
  - This report include awards that were reported incorrectly under RECORD TYPE NON-AGGREGATE INDIVIDUAL; which is **only** used if awarding to persons and if there’s a need to mask the UEI to protect PII.
  - All DoD Assistance Awards should be reported under **NON-AGGREGATE** Record Type, which displays the Recipient Information and UEI as required per the DATA ACT Transparency Requirements.

What’s New?

The Policy

- Data is one of the most valuable assets of the Federal Government and, if leveraged effectively, it can be used to transform the way society, businesses, recipients, and local Government interface with the Federal Government.

- Memos created to reassure data accuracy:
  - Federal Funding Accountability and Transparency Act (Transparency Act)
  - The Digital Accountability and Transparency Act (DATA Act) and more recently,
  - The Grant Reporting Efficiency and Agreement Transparency Act (GREAT Act)
- Requires better use of data standards to enable more information about Federal financial assistance available online to the public. Information Extracted from M-21-03

The Policy

- Implementing OMB Memorandum-M22-02, “New Financial Assistance Transparency Reporting Requirements”.
  - https://www.whitehouse.gov/wp-content/uploads/2020/04/M -20-22.pdf

- Required effective April 4, 2022- For grants and cooperative agreements, agencies must report ‘Indirect Cost Federal Share Amount’.
  - Definition: Is the total amount of any single Federal award action that is allocated, per the award recipient’s approved award budget, to indirect costs.

- Optional since January29, 2022: For competitive discretionary grants and cooperative agreements, agencies shall report the Funding Opportunity Goals Text.
  - Definition: Brief summary of the intended outcomes associated with the Notice Of Funding Opportunity.

- Reporting Multiple Assistance Listings per funding on an Award.

Status of M-22-02 Implementation

- Funding Opportunity Number and Funding Opportunity Goals Text fields were scheduled to become mandatory on 10/01/2023.
- Such rules cannot be created until the Assistance Listings are updated so that competitive, discretionary grants and cooperative agreements can be distinguished from other types.

- Requirement paused: OMB policy in M-22-02 requiring agencies to submit Funding Opportunity Number and Funding Opportunity Goals Text will not be enforced via the validation rules on FY2023 release

- We identified an incorrect Validation rule in USASpending.gov FABS45 that reads: “When both Indirect Cost Federal Share Amount and Federal Action Obligation are provided, either both must be zero; or both must share the same sign and Indirect Cost Federal Share Amount must be less than or equal to Federal Action Obligation (in absolute value terms).

Status of M-22-02 Implementation

- Implementing the Multiple Assistance Listing (CFDA) Reporting Capability in FAADC (per-M22-02), will result in a version change.

- Impacts: Add new Complex data fields, Schema changes, new validation rules, CWS recertification, User training.

- FAADC Version 1.2 Deployment Schedule:
  - Beta Deployment: 5/22/2023 - 5/22/2023
  - GAT Testing: 7/12/2023 - 7/21/2023
  - Production Deployment: 8/19/2023 - 8/19/2023

FAADC Version Change

The Logic

Disclosure

Allproposed content within this presentation is still under development by GSA. No content has been officially approved or released for publication. Requirements and designs are subject to change. Not intended for dissemination.

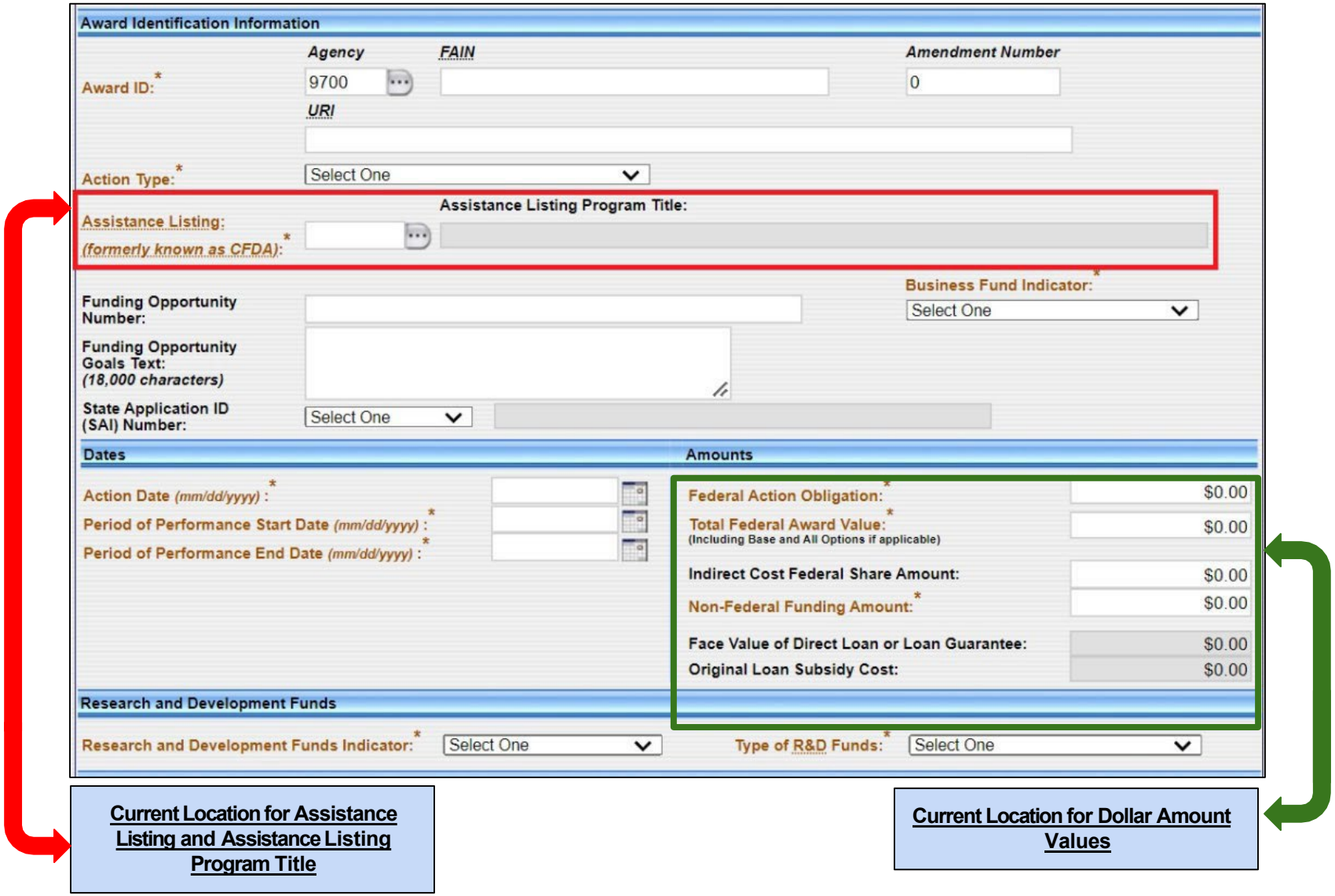
Multiple Assistance Listing Logic

- As of 10/01/2021 Agencies should be able to collect multiple Assistance Listing (formerly known as CFDA) numbers for each record created. Current functionality in FAADC only allows for one Assistance Listing number to be recorded per family (base and amendments).

- Proposed functionality will allow a record to contain multiple Assistance Listings across the family (base and amendments).

- There is no limit on the number of Assistance Listings that can be input into a record; for this we plan to make the first Assistance Listing entered onto a grant be the dominant one and cannot be removed from that grant.

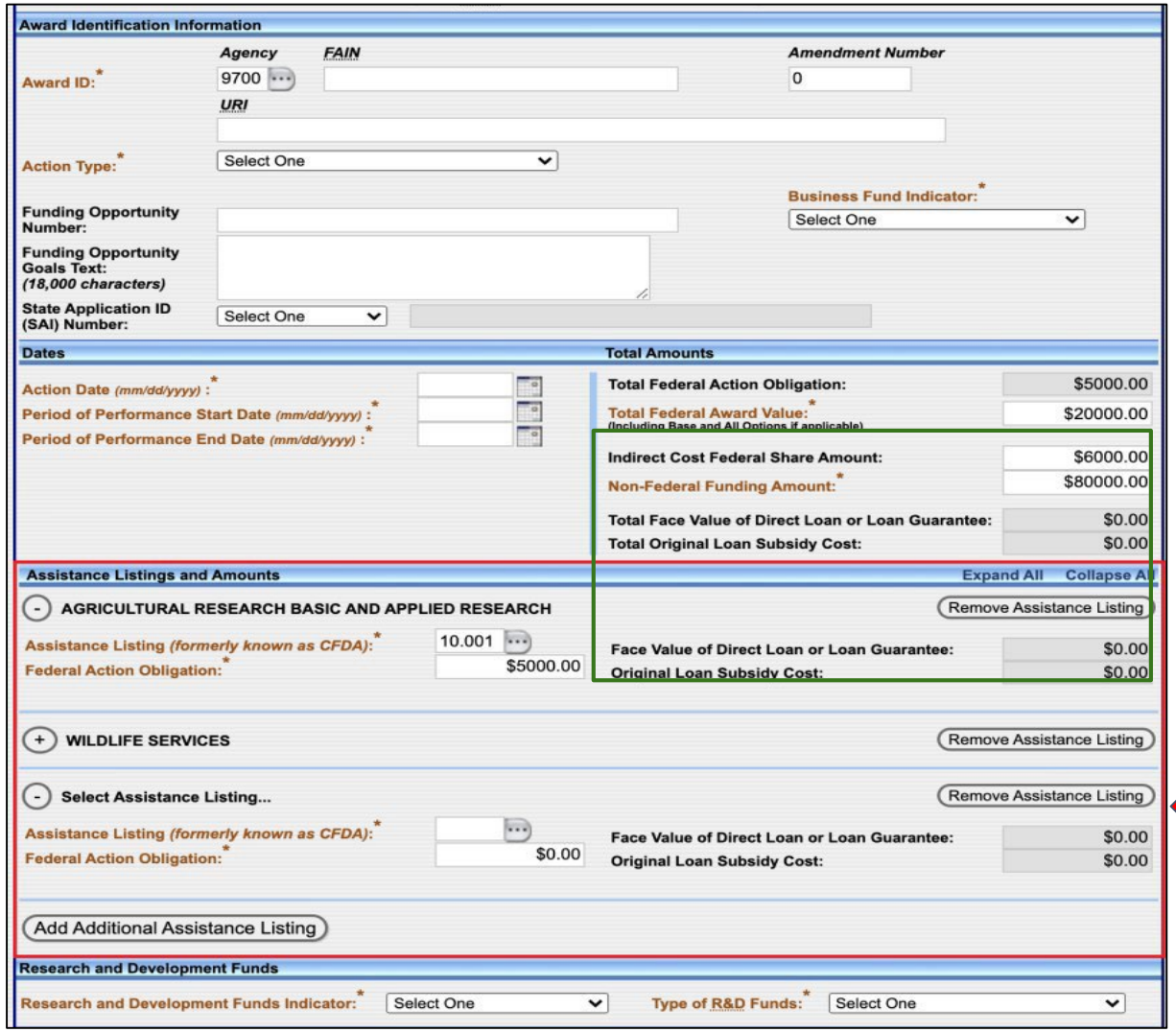
Current Display (Non-Aggregate)



Current Location for Assistance Listing and Assistance Listing Program Title

Current Location for Dollar Amount Values

Future Display (Non-Aggregate)



Note: This same design will be applied to Aggregate and Non-Aggregate Individual records types.

A

Updated Amounts section

B

Updated Assistance Listing Location and Amounts

Future Display Explanation

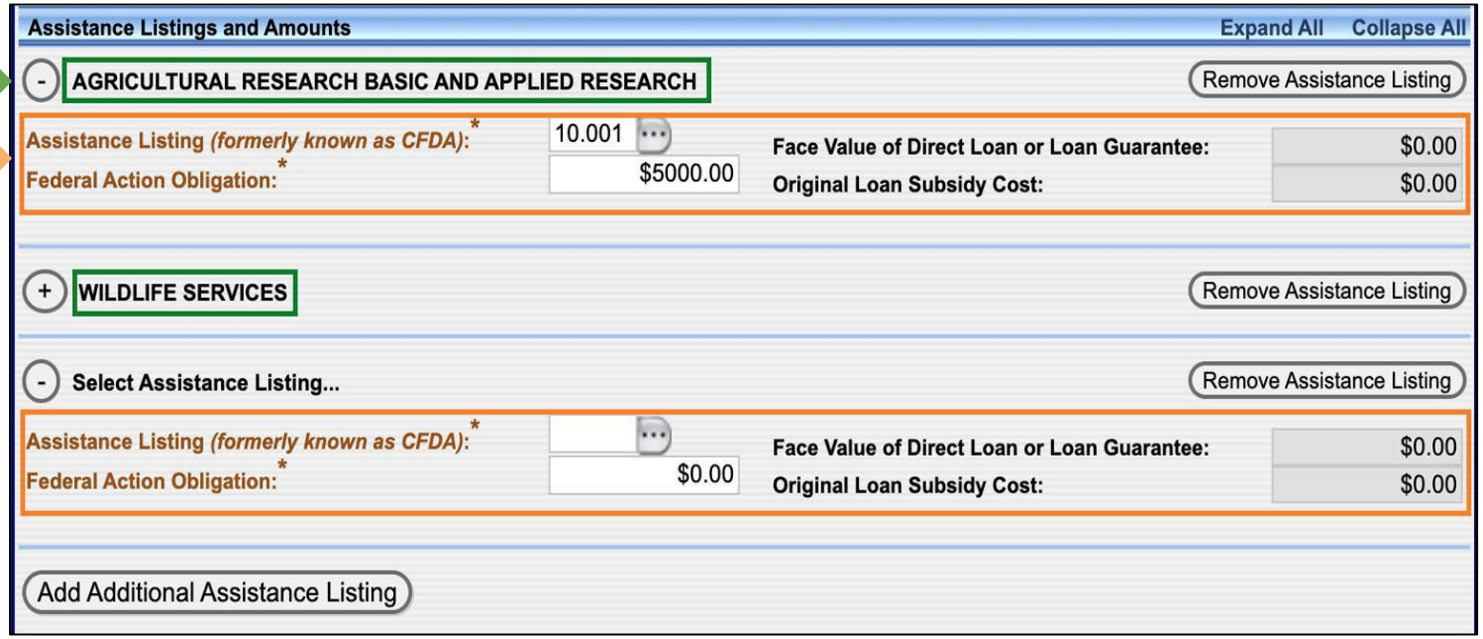
A

Total Federal Action Obligation: The Total Federal Action Obligation will be a new data element, auto calculated based off the Assistance Listing Federal Action Obligation amounts.

Total Face Value of Direct Loan or Loan Guarantee and **Total Original Loan Subsidy Cost** These are new data elements that will be auto calculated based off the Assistance Listing's Face Value of Direct Loan or Loan Guarantee and Total Original Loan Subsidy Cost amounts respectively.

Future Display Explanation

B



Assistance Listing Program Title : Titles are used as the section identifiers for each Assistance Listing sub-section. If a user selects the 'Add Additional Assistance Listing'button at the bottom of this record section, the text 'Select Assistance Listing'will appear until the user enters/selects an Assistance Listing value.

Assistance Listing Value + Dollar Amounts: The Assistance Listing data element and respective dollar amount values will be listed for each Assistance Listing added to the form.

Assistance Extract

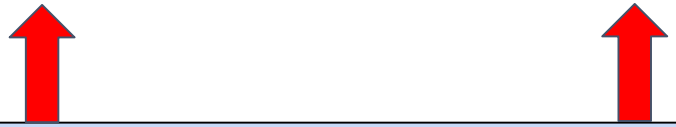
Current Display

**Some columns of the report were dropped here for simplicity purposes. No columns will be removed or rearranged during actual deployment.

A	B	C	D	E	F	G	H
FINANCIAL_ASSISTANCE_I	AMENDMENT_NUMBER	RECORD_TYPE	ASSISTANCE_TYPE	ACTION_DATE	FEDERAL_ACTION_OBLIGATION	ASSISTANCE_LISTINGS	ASSISTANCE_LISTINGS_PROGRAM_TITLE
W912J7221COOK	0	2	2	2/17/2022 0:00	9000	10.001	AGRICULTURAL RESEARCH BASIC AND APPLIED RESEARCH
W912J7221COOK	A11111	2	2	2/17/2022 0:00	8500	10.001	AGRICULTURAL RESEARCH BASIC AND APPLIED RESEARCH
W912J7221NABC	0	2	2	2/19/2022 0:00	4500	11.417	SEA GRANT SUPPORT
W912J7221NOOK	0	2	2	2/17/2022 0:00	8000	10.001	AGRICULTURAL RESEARCH BASIC AND APPLIED RESEARCH
W912J7221NSAM	0	2	2	3/11/2022 0:00	100	12.002	PROCUREMENT TECHNICAL ASSISTANCE FOR BUSINESS FIRMS

Future Display

A	B	C	D	E	F	G	H
FINANCIAL_ASSISTANCE_I	AMENDMENT_NUMBER	RECORD_TYPE	ASSISTANCE_TYPE	ACTION_DATE	FEDERAL_ACTION_OBLIGATION	ASSISTANCE_LISTINGS	ASSISTANCE_LISTINGS_PROGRAM_TITLE
W912J72211234	0	2	2	4/8/2022 0:00	2500 : 5000 : 3500	10.001 : 11.417 : 10.028	AGRICULTURAL RESEARCH BASIC AND APPLIED RESEARCH : SEA GRANT SUPPORT : WIL
W912J7221BOOK	0	2	2	2/17/2022 0:00	500	10.001	AGRICULTURAL RESEARCH BASIC AND APPLIED RESEARCH
W912J7221BOOK	A11111	2	2	2/17/2022 0:00	12000 : 24000	12.002 : 10.001	PROCUREMENT TECHNICAL ASSISTANCE FOR BUSINESS FIRMS : AGRICULTURAL RESEA



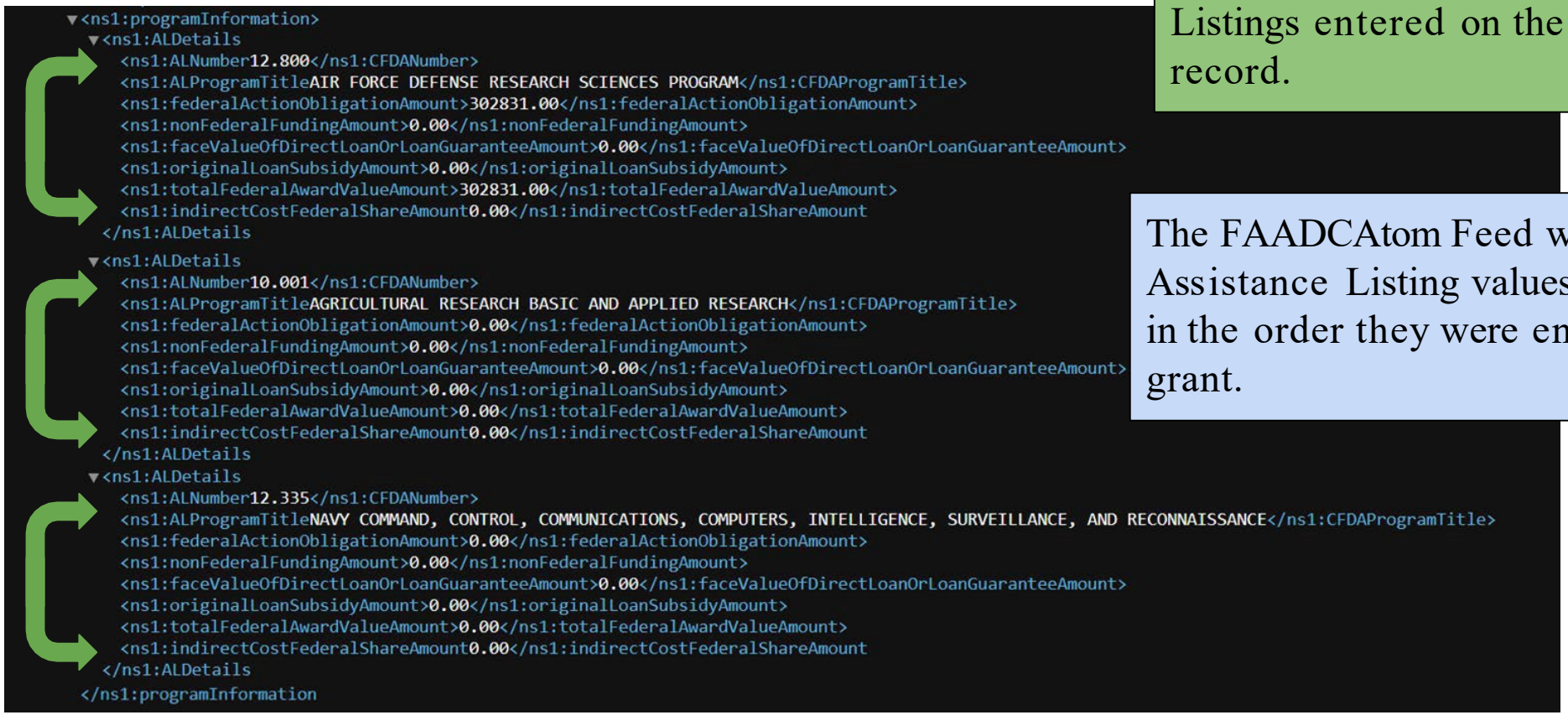
The Assistance Extract will keep the grant on one line, but each value will be separated by colons and will be ordered in the same way the values were entered on the grant. This is similar to the FABS_BUSINESS_TYPES_CODE and FABS_BUSINESS_TYPES_DESCRIPTION listed on the Assistance Extract.

Current Atom Feed Display

```
▼<ns1:dollarValues>
   <ns1:federalActionObligationAmount>0.00</ns1:federalActionObligationAmount>
   <ns1:nonFederalFundingAmount>0.00</ns1:nonFederalFundingAmount>
   <ns1:totalFederalAwardValueAmount>0.00</ns1:totalFederalAwardValueAmount>
  </ns1:dollarValues>
▶<ns1:providerInformation>
  ...
  </ns1:providerInformation>
▼<ns1:programInformation>
   <ns1:CFDANumber>12.335</ns1:CFDANumber>
   <ns1:CFDAProgramTitle>NAVY COMMAND, CONTROL, COMMUNICATIONS, COMPUTERS, INTELLIGENCE, SURVEILLANCE, AND RECONNAISSANCE</ns1:CFDAProgramTitle>
  </ns1:programInformation>
```

**Some data of the Atom Feed was dropped here for simplicity purposes.

Future Atom Feed Display



```
▼<ns1:programInformation>
  ▼<ns1:ALDetails
    <ns1:ALNumber12.800</ns1:CFDANumber>
    <ns1:ALProgramTitleAIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM</ns1:CFDAProgramTitle>
    <ns1:federalActionObligationAmount>302831.00</ns1:federalActionObligationAmount>
    <ns1:nonFederalFundingAmount>0.00</ns1:nonFederalFundingAmount>
    <ns1:faceValueOfDirectLoanOrLoanGuaranteeAmount>0.00</ns1:faceValueOfDirectLoanOrLoanGuaranteeAmount>
    <ns1:originalLoanSubsidyAmount>0.00</ns1:originalLoanSubsidyAmount>
    <ns1:totalFederalAwardValueAmount>302831.00</ns1:totalFederalAwardValueAmount>
    <ns1:indirectCostFederalShareAmount0.00</ns1:indirectCostFederalShareAmount
  </ns1:ALDetails
  ▼<ns1:ALDetails
    <ns1:ALNumber10.001</ns1:CFDANumber>
    <ns1:ALProgramTitleAGRICULTURAL RESEARCH BASIC AND APPLIED RESEARCH</ns1:CFDAProgramTitle>
    <ns1:federalActionObligationAmount>0.00</ns1:federalActionObligationAmount>
    <ns1:nonFederalFundingAmount>0.00</ns1:nonFederalFundingAmount>
    <ns1:faceValueOfDirectLoanOrLoanGuaranteeAmount>0.00</ns1:faceValueOfDirectLoanOrLoanGuaranteeAmount>
    <ns1:originalLoanSubsidyAmount>0.00</ns1:originalLoanSubsidyAmount>
    <ns1:totalFederalAwardValueAmount>0.00</ns1:totalFederalAwardValueAmount>
    <ns1:indirectCostFederalShareAmount0.00</ns1:indirectCostFederalShareAmount
  </ns1:ALDetails
  ▼<ns1:ALDetails
    <ns1:ALNumber12.335</ns1:CFDANumber>
    <ns1:ALProgramTitleNAVY COMMAND, CONTROL, COMMUNICATIONS, COMPUTERS, INTELLIGENCE, SURVEILLANCE, AND RECONNAISSANCE</ns1:CFDAProgramTitle>
    <ns1:federalActionObligationAmount>0.00</ns1:federalActionObligationAmount>
    <ns1:nonFederalFundingAmount>0.00</ns1:nonFederalFundingAmount>
    <ns1:faceValueOfDirectLoanOrLoanGuaranteeAmount>0.00</ns1:faceValueOfDirectLoanOrLoanGuaranteeAmount>
    <ns1:originalLoanSubsidyAmount>0.00</ns1:originalLoanSubsidyAmount>
    <ns1:totalFederalAwardValueAmount>0.00</ns1:totalFederalAwardValueAmount>
    <ns1:indirectCostFederalShareAmount0.00</ns1:indirectCostFederalShareAmount
  </ns1:ALDetails
</ns1:programInformation
```

Three separate Assistance Listings entered on the record.

The FAADCAtom Feed will list all Assistance Listing values for each grant in the order they were entered on the grant.

**Some data of the Atom Feed was dropped here for simplicity purposes.

Preparation for Awarding Systems Re-Certification

- Contact CMANAGE at fpdscmanage@gsa.gov for the System Certification Process Package, which can be found in FPDS - WORKSITE tab
  - https://www.fpds.gov/fpdsng_cms/index.php/en/worksite.html
- Please cc' jovanka.y.caton@dla.mil in all correspondences regarding this topic.

FAADC DoD Administrators and Grants Policy Team

- Contact the DoD FAADC System Administrator at: jovanka.y.caton@dla.mil for technical issues that cannot get resolved at your Agency Admin level, or USASpending.gov data submission issues with FSRS, FICE code questions

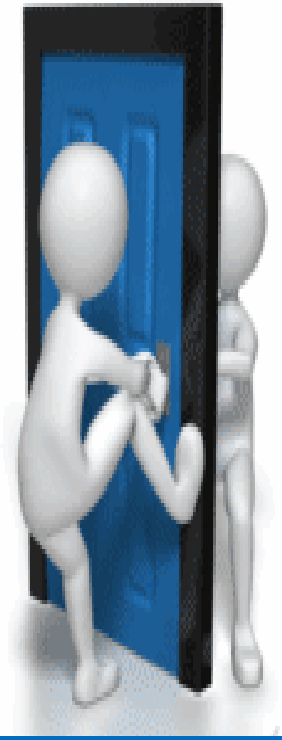
- Contact the DoD Grants Policy Office at: osd.pentagon.ousd-re.mbx.dod-grants-policy-office@mail.mil for Policy related questions (i.e., Assistance Listing inquiries or annual updates).

Supplemental Information

Introduction to the Financial Assistance Award Data Collection (FAADC) Module

FAADC Registration Process

- Who can access FAADC?
  - Government Users only.
- How to request access?
  - **For Online Access:** Contact your Agency FAADC System Admin for roles once registered.
  - **For CWS Handshake:** Contact Jovanka C. and cmanage@us.ibm.com for certification and integration requirements.



Who is your Agency Admin?

FAADC User Roles

Financial Assistance Administrator

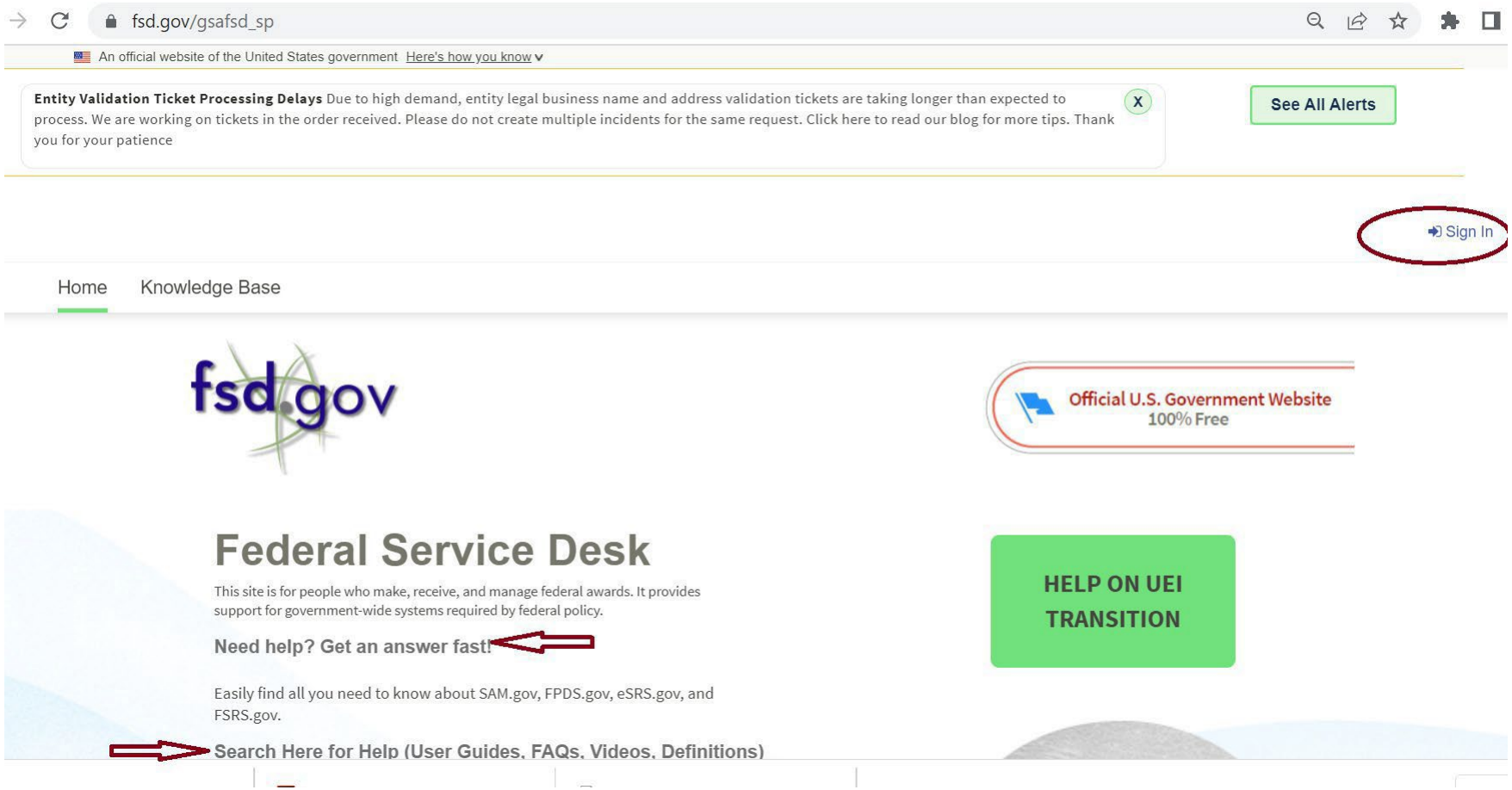
- Create, update and enable/disable Users.
- Assign User Privileges
- Run the FABS Report for USASpending.gov
- For DoD, this report is executed at the Department level (9700)

Financial Assistance Officer

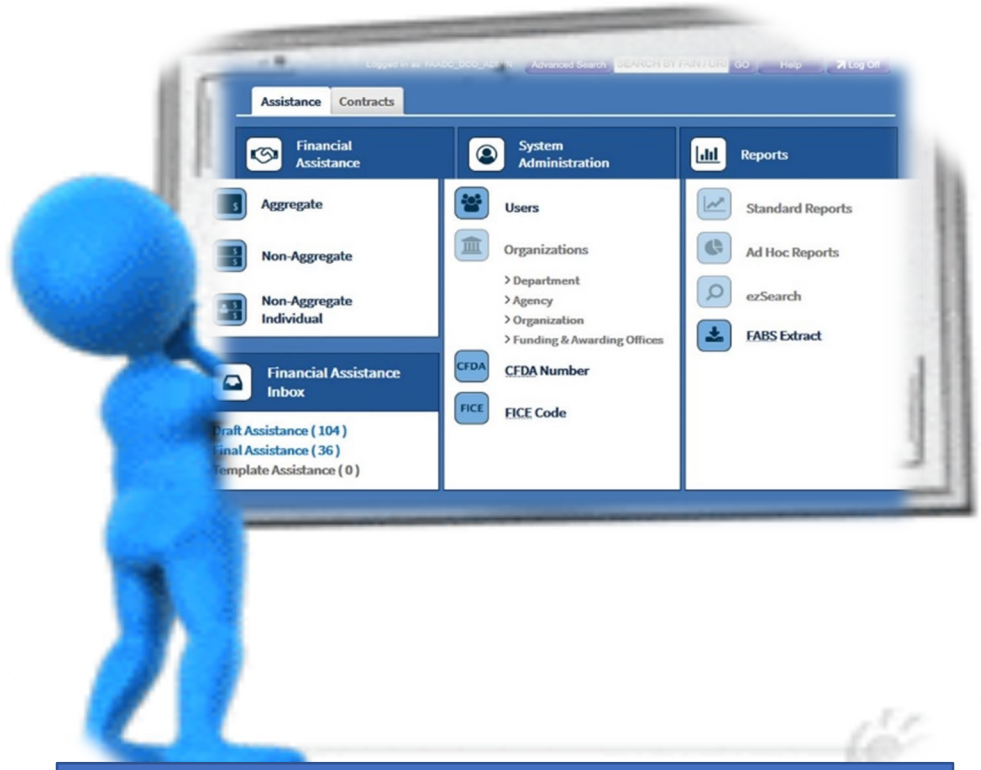
- Create, Save Draft, Validate and Approve an Award.
- Amend an Award
- Run FAADC Extract report.

FAADC Help Desk

- Submit FAADC related issues to FSD.gov
  https:// FSD.gov



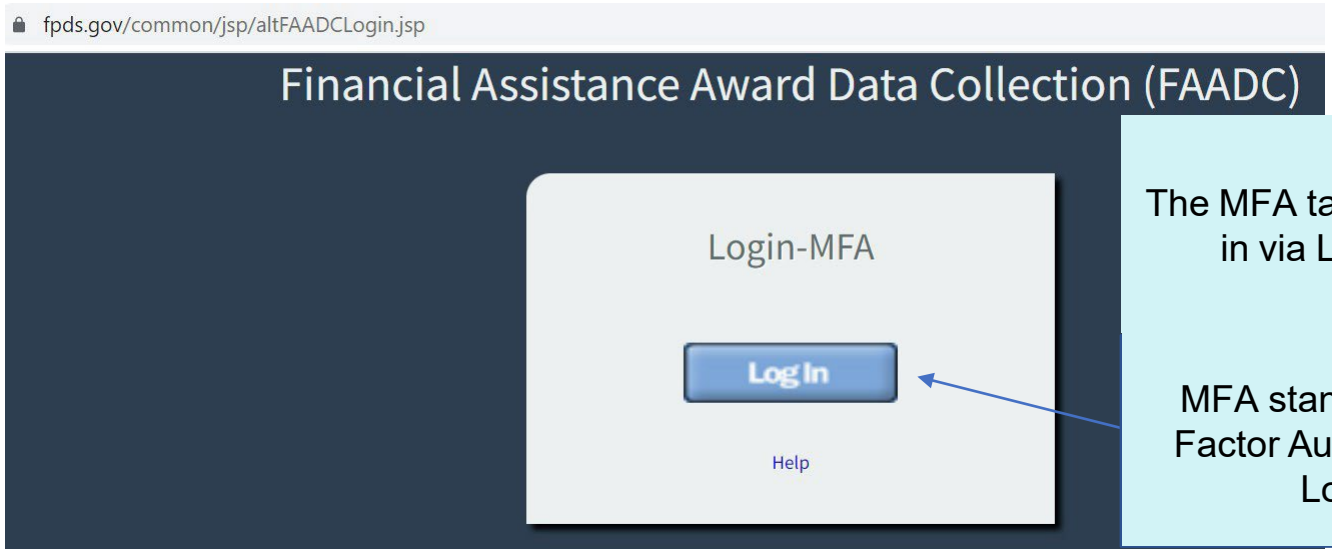
A LOOK INSIDE FAADC



FAADC Online

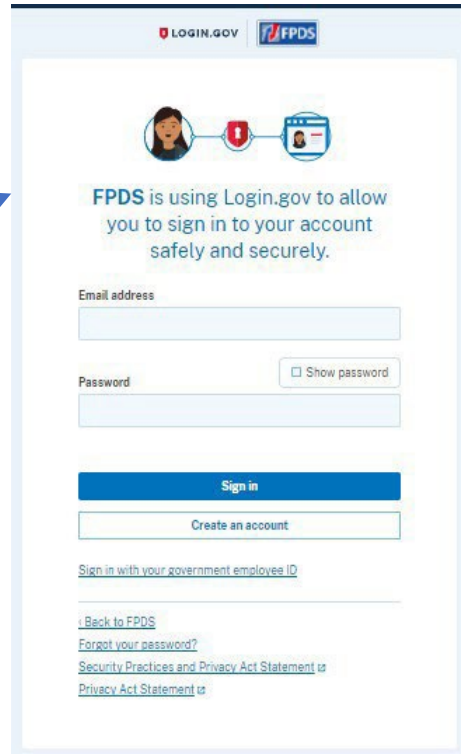
FAADC Login

- **Grants only Users:**https://www.fpds.gov/common/jsp/altFAADCLogin.jsp to access the FAADC System login.



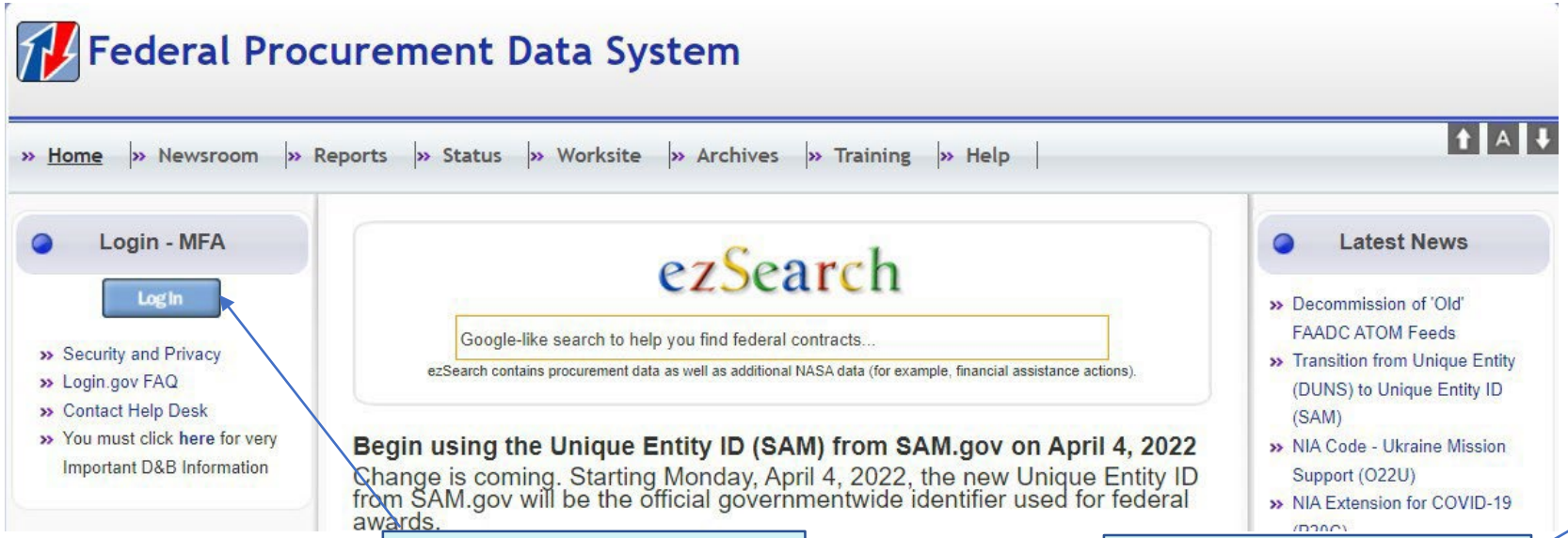
The MFA takes you to log in via Login.gov

MFA stands for Mult-i Factor Authentication Login



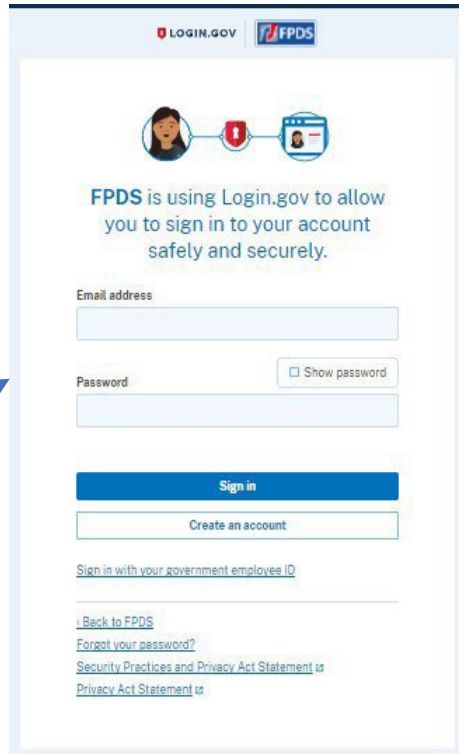
FAADC Login

- **Procurement and Grants Users:**https://www.fpds.gov/ to login. This link can be used to



MFA stands for Mult-i Factor Authentication Login

The MFA takes you to log in via Login.gov

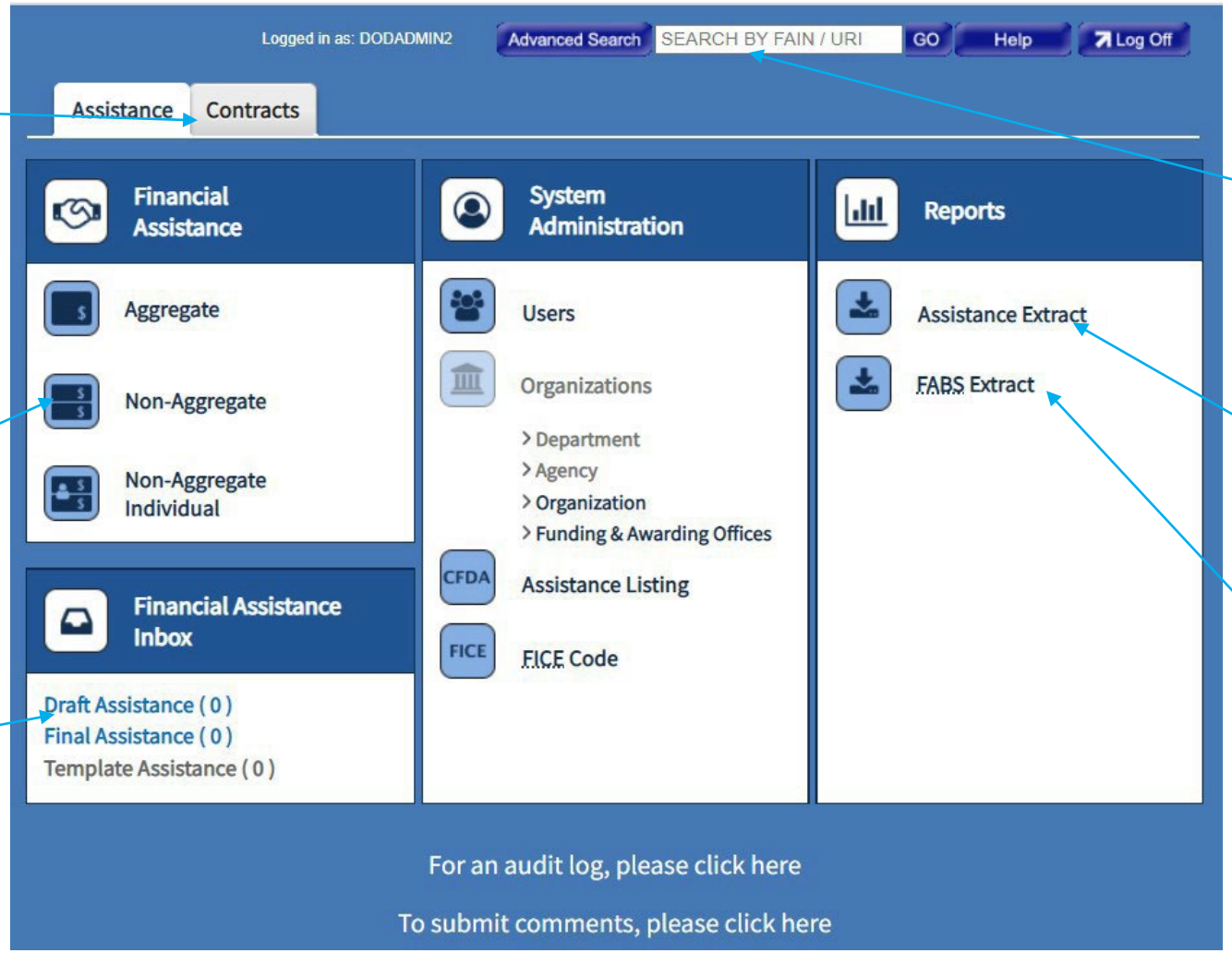


FAADC Home Page

If users also have access to FPDS for contract reporting, they can toggle to that screen

This is the column applicable to most users – select record type to enter

This is theuser's inbox – can click into the list of specific records the user has entered themselves (indraft or final state)



Keyword or Advanced Search available to locate a specific record

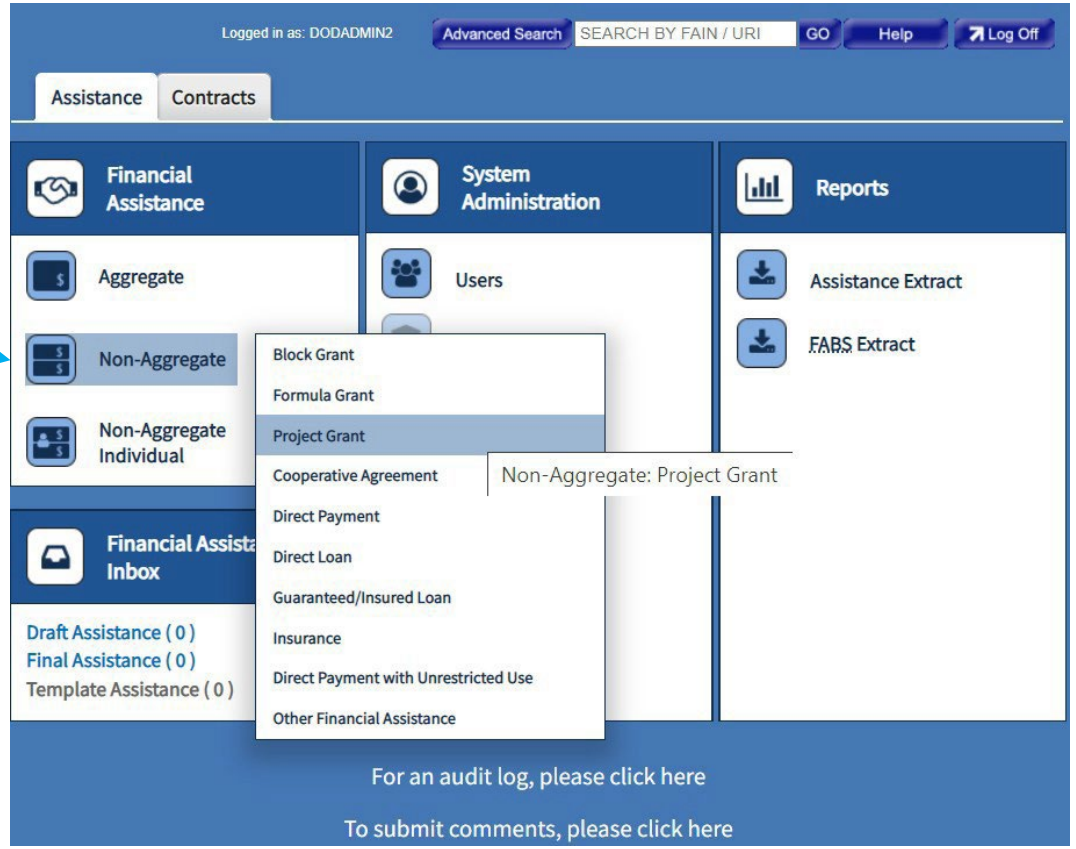
This produces a report with all the data fields available on the award forms

Special privilege for the Dept. or Agency System Administrator that is responsible for FABS reporting

FAADC Home Page
Selection of Record Type to Enter

Choose the type of Federal Assistance Record and then the type of Federal Assistance from the drop-down

ALERT: DoD should use 'Non-Aggregate' Record Type, to report Assistance Award Actions

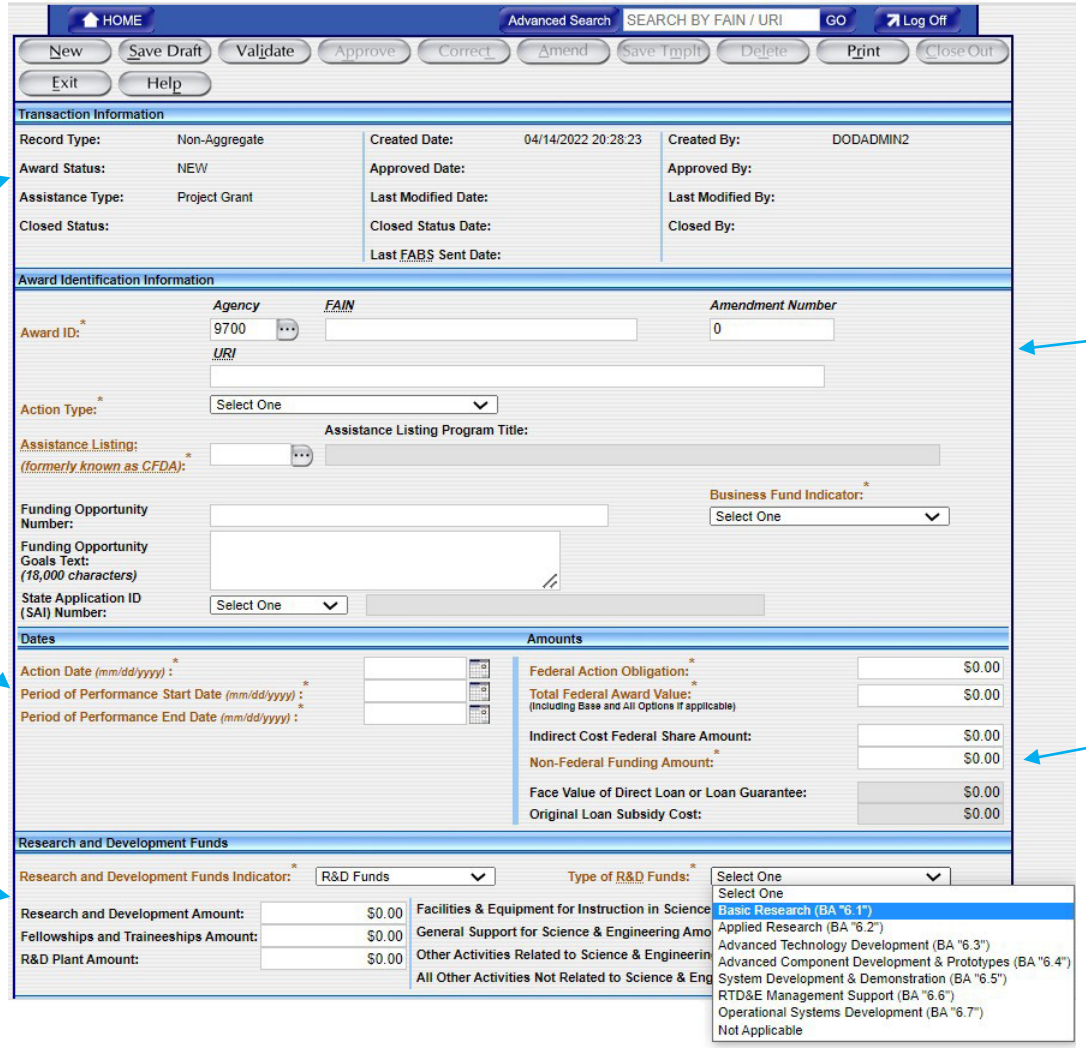


Non-Aggregate Project Grant 1/3

Transaction Info section is system generated based on user login and type of record chosen

Dates section is where the user enters the date of the specific action and the period of performance of the whole award represented by the FAIN

R&D section is where DoD users identify what type of R&D funding was used and how it was broken out for the award



Award ID Information is where the user enters the FAIN and selects the Action Type and Assistance Listing (CFDA) Program (lookup provided)

Amounts section is where the user enters the amount being obligated on this action, any non-federal funds, and the total expected award value over time (anticipating all future obligations under this FAIN)

FAIN RULES

- The Award Number must be 13 characters long.
- The first six positions must be alpha-numeric with no special characters or spaces, and represent the appropriate Awarding Office Code of the Agency issuing the assistance award.
- The 7th and 8th positions must represent the Fiscal Year of the award.
- Once an agency assigns a FAIN and reports it to USAspending.gov, the Federal agency may not change the FAIN during the life of the award
- The Awarding Office code must be a valid DODAAC.
  - If users are getting an error, please contact our office. More than likely the DoDAAC needs to be flagged as a Grant in FPDS and other systems.

FAIN RULES

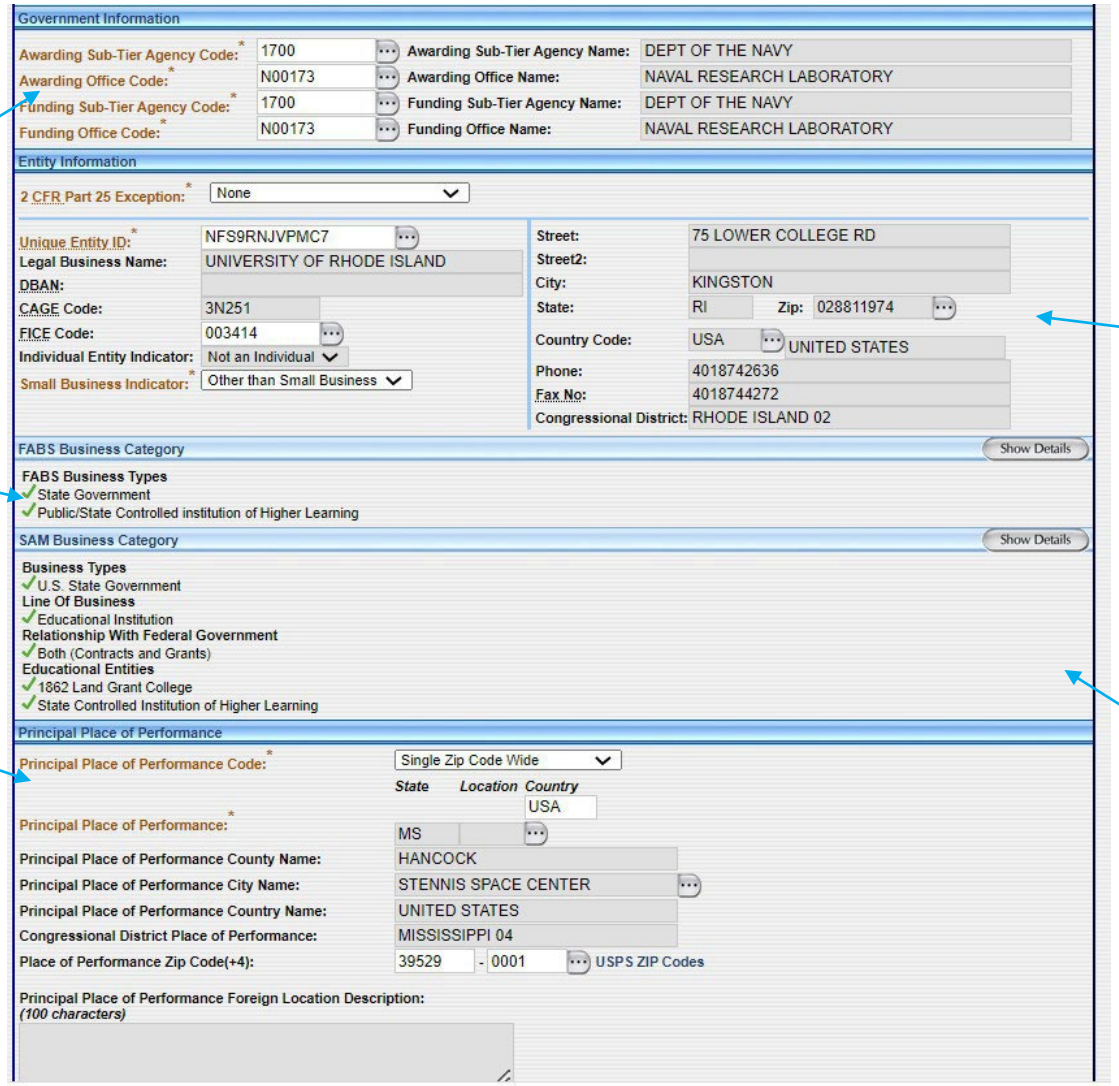
- The ninth position must be numeric values of 1, 2 or 3, representing the award classification:
  - "1" for grants
  - "2" for cooperative agreements, including technology investment agreements that are cooperative agreements
  - "3" for other non-procurement instruments, including technology investment agreements that are not cooperative agreements.
- The 10th through 13th positions must be numeric values representing the serial number of the award.

Non-Aggregate Project Grant 2/3

GovInfo section is where the user identifies the awarding office (AAC/DODAAC) and fundinsgubtier agency; as well as funding office (AAC/DODAAC) and fundinsgubtier agency (lookups provided)

FABS Business Category populates based on the recipient's business types in SAM record

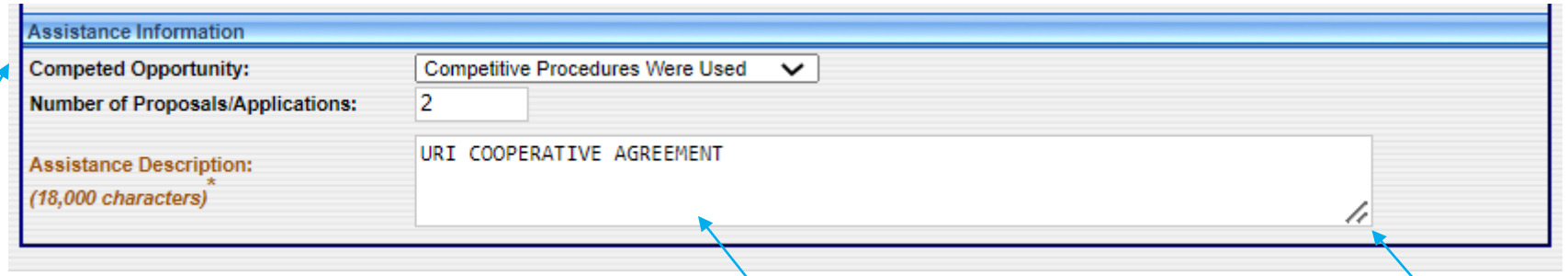
Place of Performance section is where the user identifies the type of performance location for the award–remaining applicable data elements depend on this choice (lookups available for zip codes and country codes)



Recipient Info is where the user identifies the recipient by their DUNS number; name and address prefills based on SAM record. User also identifies whether the recipient is a small business for this award

SAM Business Category populates based on the recipient's SAM record

Non-Aggregate Project Grant 3/3



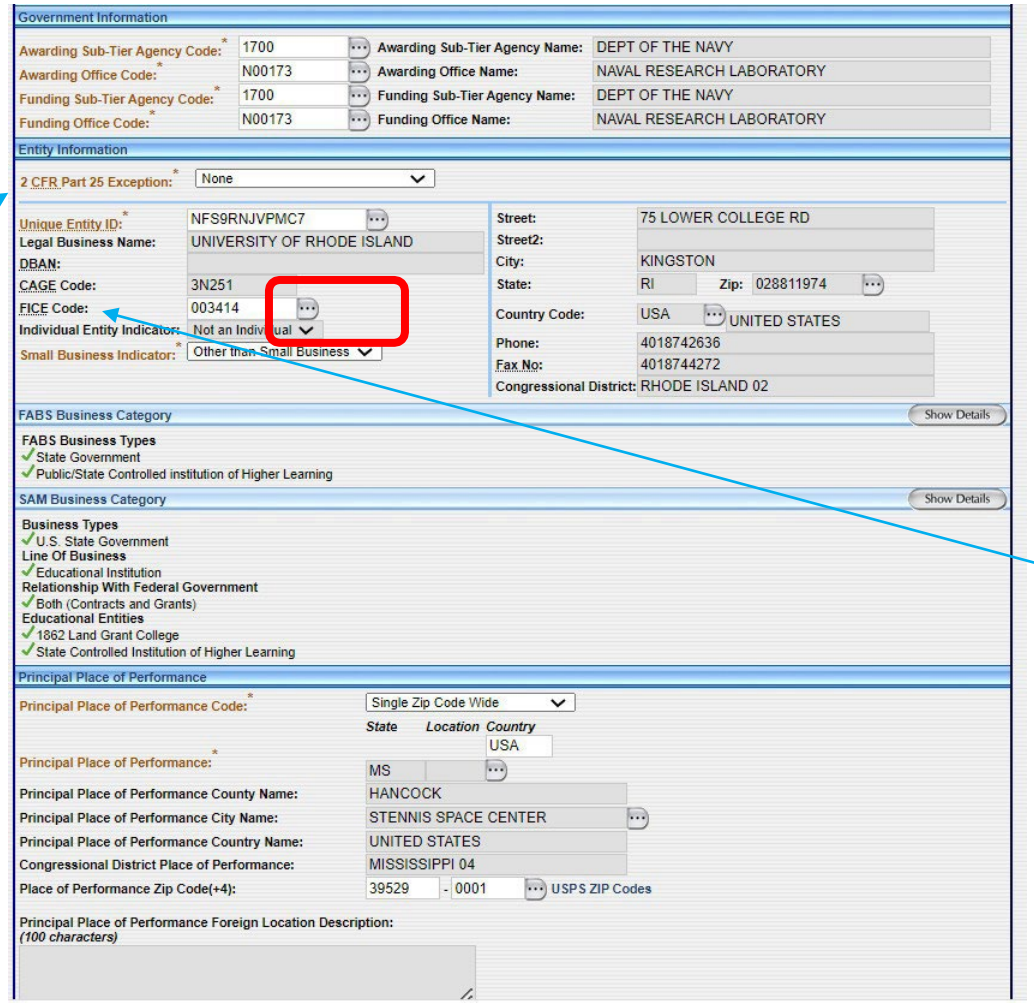
Assistance Info section is where the user indicates if competitive procedures were used and the number of proposals/applicants.

This is whereuser enters a brief description of what the financial assistance action is funding (i.e., the purpose of the award). Note-never include Personal Identifiable Information (PII) or include information that is sensitive or could raise operational security concerns

Icon used to expand the Assistance Description block. Dynamic Feature!

FICE Codes and Lookup Functions 1/3

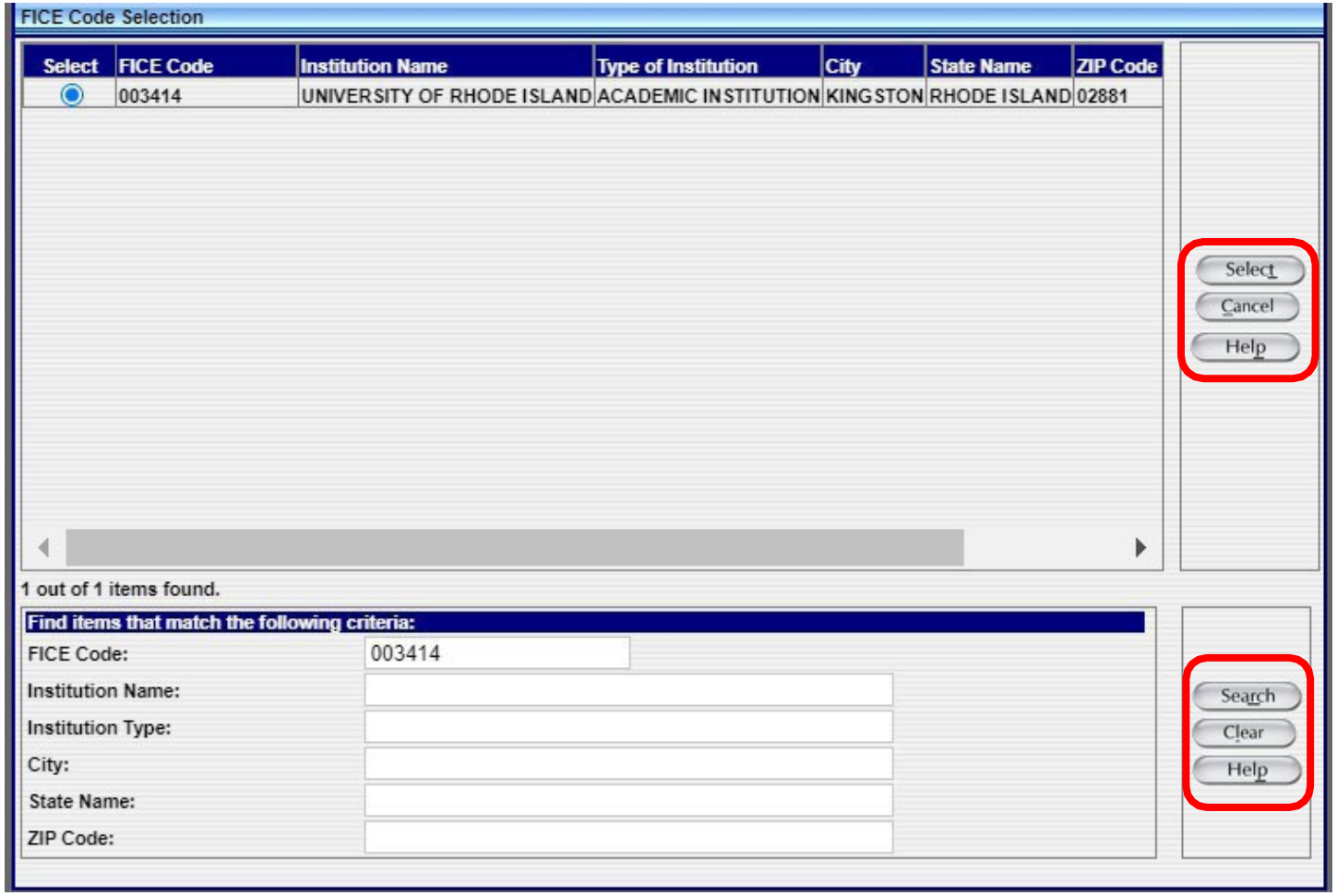
SAMExcetion renamed to '2 CFR Part 25 Exception'



DoD Users are required to enter the FICE code for a recipient when the recipient is an Educational Institution or non-profit organization
(FICE codes are assigned by NSF)
(Lookup is provided)

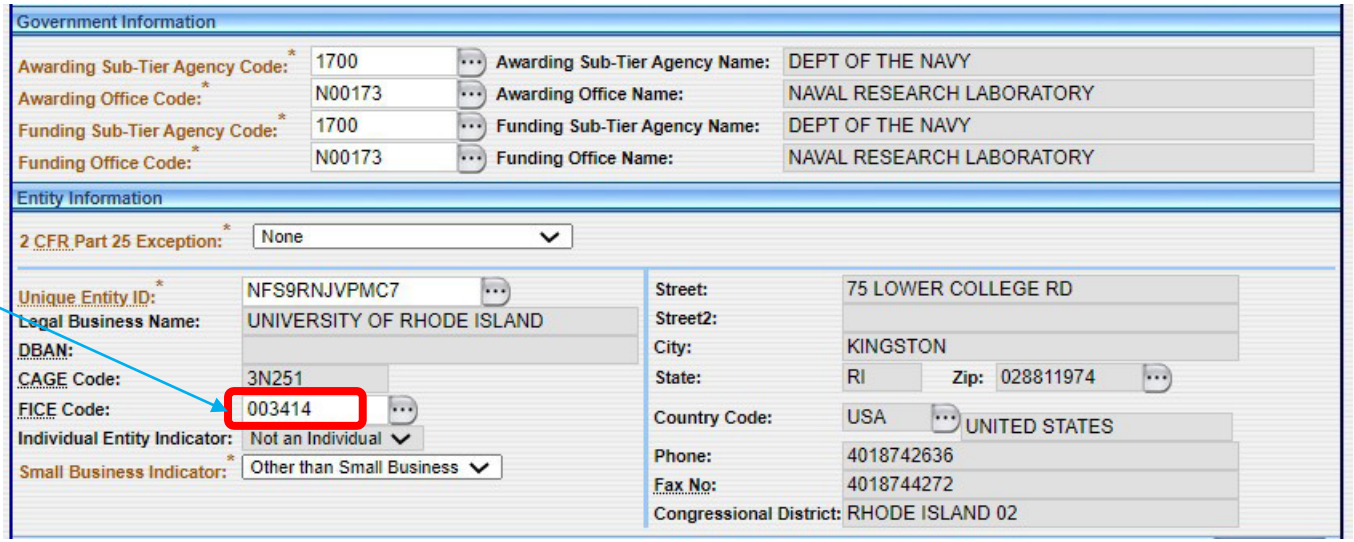
FICE Codes and Lookup Functions 2/3

To use a lookup function, enter the known information in the blanks provided and click 'Search'. Potential candidates are returned. Mark one and click 'Select'



FICE Codes and Lookup Functions 3/3

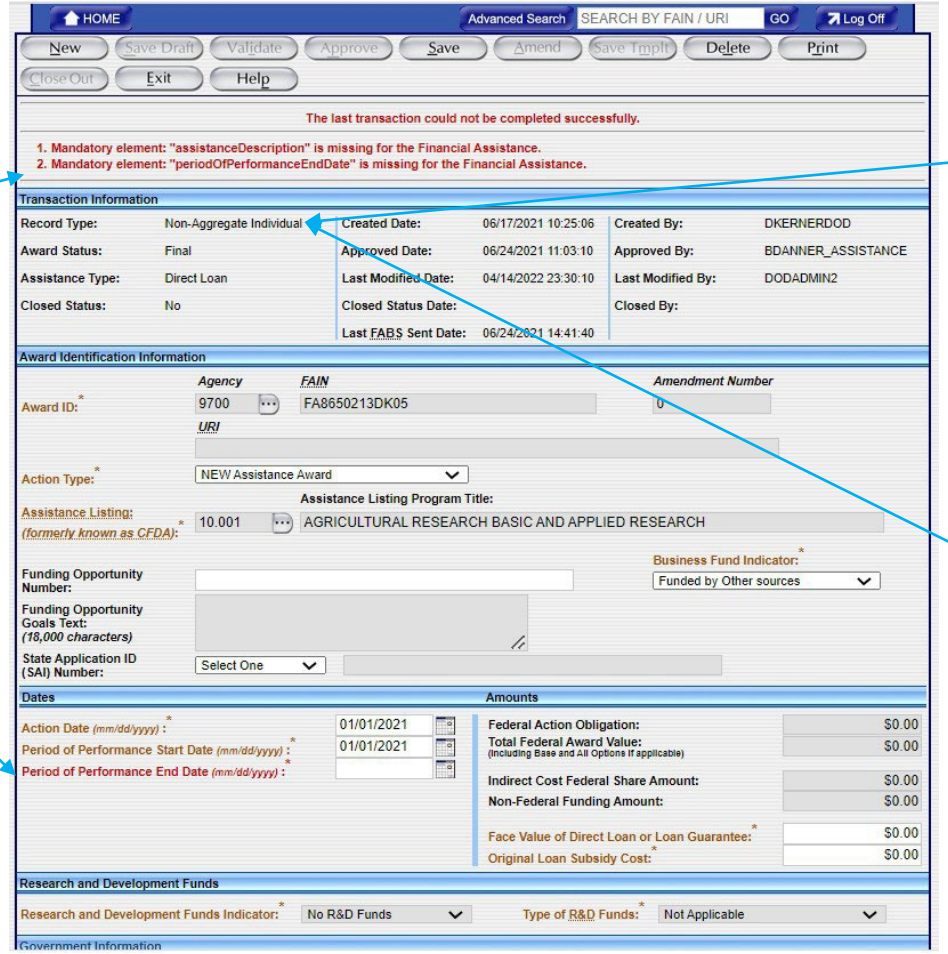
The user is returned to the award entry screens and the selected code is populated



Non-Aggregate– Individual Validation Rules Block Grant 1/3

This record is demonstrating the application of system business rules when the Validate button is selected prior to Approving the completed record

If errors are found, there is a message shown at the top of the entry screen and specific data elements where the error is are changed to red font



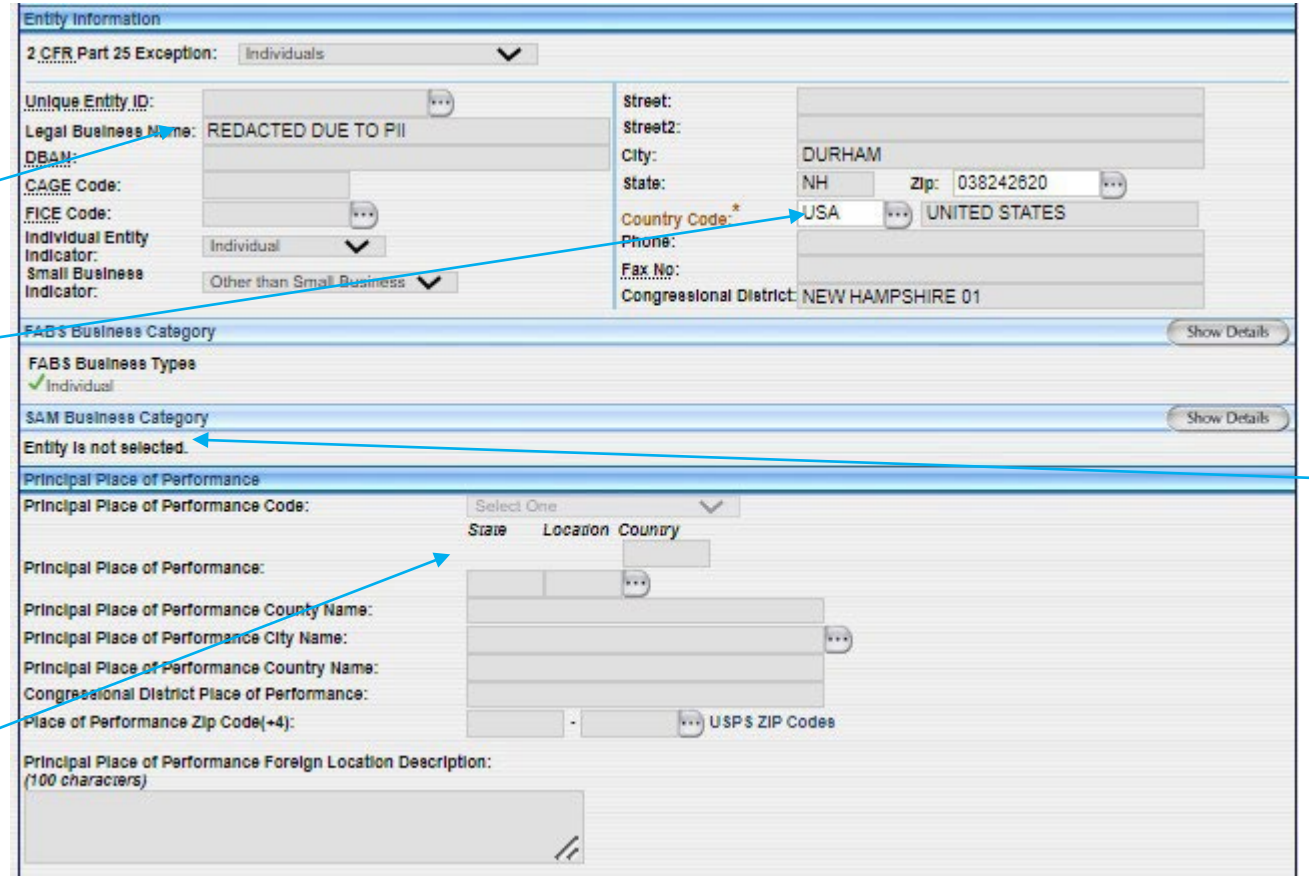
Non-Aggregate Individual reports are used only in circumstances when the user is awarding an action to an individual in the general public (sole proprietors are not considered individuals)

(Note–DoD would very rarely make use of NonAggregate Individual)

Non-Aggregate Individual records are similar to non-aggregate records in the input screens with two exceptions: No Entity info, No Place of Performance collected

Non-Aggregate– Individual Validation Rules Block Grant 2/3

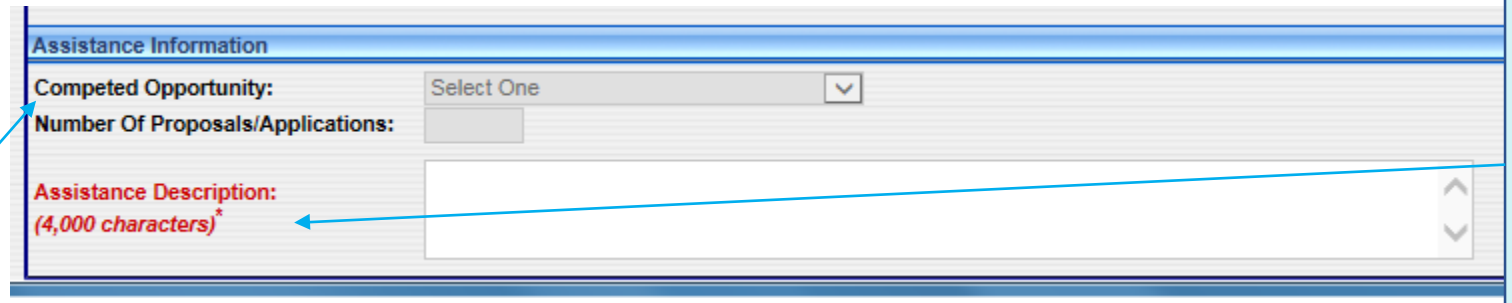
Because it is an Individual record, the recipient is not required to be registered in SAM and the Recipient Info section is greyed out–'Redacted due to PII' is populated in the Name field; users will still be required to enter the recipient's country



Place of Performance is not collected for Individual records

Since there isn't a SAM record for an Individual record, the system leaves the SAM section blank and prefills the FABS business type with 'Individual'

Non-Aggregate– Individual Validation Rules Block Grant 3/3



Competition Information is not entered on Non-Aggregate– Individual records

The userstill enters a brief description of what the financial assistance action is funding (i.e., the purpose of the award). Note–never include Personal Identifiable Information (PII) or include information that is sensitive or could raise operational security concerns

This example shows a validation error in the field because it was left blank (see the validation error message from two slides before)