

# Reporting Grants and FAADC

# What is FAADC?

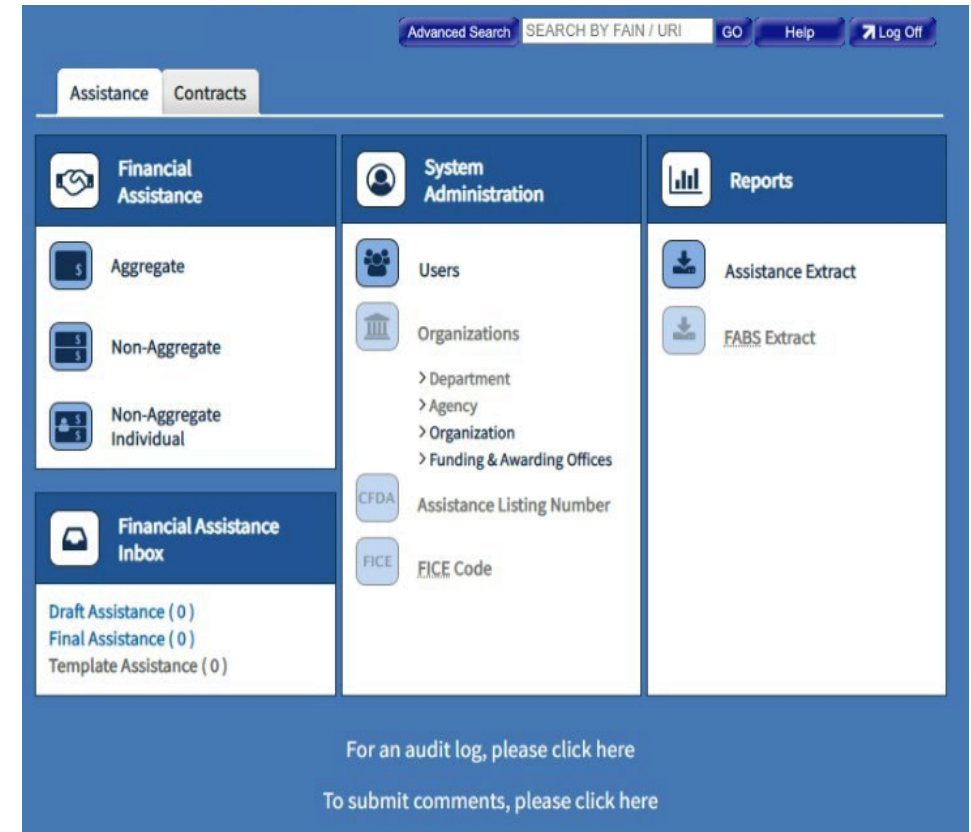
- Financial Assistance Award Data Collection (FAADC) System developed to collect assistance information regarding grants, payments, loans, insurance, and agreements via direct entry on the web, XML business services submissions, or a combination of these methods
- Using standard data elements, formats, and validation (i.e., for DoD, FAIN numbering rules, among others)

# Overview

- Built in the Federal Procurement Data System (FPDS) environment
- Users that already have access to FPDS only need an additional FAADC privilege on their profile
- There are three awarding systems that are certified and are currently integrate with FAADC:
  - SPS (All DoD), ConWrite (AF), and PPS (ONR)
- Batch submission is not supported in FAADC.
- CWS can interface via Business Services Handshake.

# Submitting FAADC Data to USASpending.gov

- **Report Frequency to FAADC**
  - Users should submit their data within **15** days of award obligation
  - Required in USASpending.gov within **30** days of award obligation
  - DoD Award Data submitted on **4th** and **19th** of every month
- **Data issues found during submission**
  - Non-user related errors: Legal Business Zip Code, Carriage Return issue on Assistance Description, Primary Place of Performance Code – System Generated
  - User related errors: Reporting data under “Aggregate” or “Non-Aggregate Individual” Record Types - wrong.



# Where to Run Reports for FAADC Data?

# Extracting FAADC Data

Currently, there are three ways to extract FAADC data:

Directly from FAADC –Assistance Extract Module

- Users with FAADC roles can access

From PBIS Reports

- Request Access from DPC

From USASpending.gov

- Any user can access

From SAM.gov FAADC AD HOC Reports

- User with Contracts Data Admin role can access

# Extracting FAADC Data from Assistance Extract

## 1 Select Assistance Extract

The screenshot shows the FAADC system home page. The user is logged in as DODADMIN2. The page has a top navigation bar with 'Assistance' and 'Contracts' tabs. The main content area is divided into three sections: Financial Assistance, System Administration, and Reports. In the Reports section, the 'Assistance Extract' option is highlighted with a red box. Below the Reports section, there are links for 'Draft Assistance (0)', 'Final Assistance (0)', and 'Template Assistance (0)'. At the bottom, there are links for 'For an audit log, please click here' and 'To submit comments, please click here'.

## 2 Select search criteria

The screenshot shows the 'Document Search Criteria' form. It contains various search filters including Agency, FAIN, Amendment No, Assistance ID, URI, Created By (User ID), Last Modified By (User ID), Closed By (User ID), Awarding Sub-Tier Agency ID, Awarding Office ID, Unique Entity ID, Legal Business Name, Assistance Listing (formerly known as CFDA), Funding Sub-Tier Agency ID, Funding Office ID, Status, Closed Status, Record Type, Assistance Type, and Type of R&D Funds. The 'Type of R&D Funds' dropdown menu is open, showing options: Basic Research (BA "6.1"), Applied Research (BA "6.2"), Advanced Technology Development (BA "6.3"), Advanced Component Development & Prototypes (BA "6.4"), System Development & Demonstration (BA "6.5"), RTD&E Management Support (BA "6.6"), Operational Systems Development (BA "6.7"), and Not Applicable. The 'Status' dropdown is set to 'Final', 'Closed Status' is 'Yes', 'Record Type' is 'Non-Aggregate', 'Assistance Type' is 'SELECT ASSISTANCE TYPE', and 'Type of R&D Funds' is 'SELECT TYPE OF R&D FUNDS'. The 'Action Date Range' is set to 10/01/2020 to 09/30/2021. The 'Last Modified Date Range' and 'Closed Date Range' are empty. The 'Search' button is highlighted.

## 3 Download

The screenshot shows the 'Assistance Extract Download' dialog box. It displays the download file name: 'ASSISTANCE\_EXTRACT\_9700\_2022.04.17-151536.csv' and the total record count: 246. The 'Close' button is visible at the bottom right.

# Extracting FAADC Data from PBIS

## Request a user account for PBIS Reports

- [https:// dodprocurementtoolbox.com/site -pages/pbis](https://dodprocurementtoolbox.com/site-pages/pbis)

## Submit form via encrypted email:

- [Ms. Lisa Romney \(janice.l.romney.civ@mail.mil\)](mailto:janice.l.romney.civ@mail.mil)

The screenshot shows the Department of Defense Procurement Toolbox website. The header includes the Department of Defense logo and the text "Procurement Toolbox". Navigation links include Home, FAQs, Calendar, and Contact Us. A search bar is present. Below the header, there are tabs for Government Furnished Property, Unique ID, eBusiness, Purchase Card, Cybersecurity, Procure to Pay (P2P), Contract Closeout, and SAM.gov. The main content area is titled "Procurement Business Intelligence Service (PBIS)". It describes PBIS as a data warehouse capability used for mining enterprise procurement data. It mentions its primary purpose is to serve Senior Procurement Executives and provide management-level reports. It also states that Defense Pricing and Contracting (DPC) Contracting eBusiness (CeB) has partnered with the Army's Project Directorate (PD) Acquisition, Logistics, and Technology Enterprise Systems Services (ALTESS) organization. The page includes a list of links for User Community, Policies & Procedures, Procurement Data Analysis Reports, and Resources for External Systems. On the right side, there is a "PBIS Quick Links" section with links to request a user account for PBIS Reports and PBIS MRS, and to launch the PBIS Reports site.

Department of Defense  
Procurement Toolbox

Home FAQs Calendar Contact Us

Search

Government Furnished Property Unique ID eBusiness Purchase Card Cybersecurity Procure to Pay (P2P) Contract Closeout SAM.gov

Home » eBusiness » Procurement Business Intelligence Service (PBIS)

**eBusiness**

- Policy
- CLS/PDS Enumerations List
- Commercial Item Determination (CID)
- Systems and Tools
  - CDR
  - CAGE
  - CLS
  - FPDS-NG
  - FedMail
  - FSRS
  - GEX
  - JAM and SPM
  - MOCAS
  - PSC Tool
  - SPRS
  - REA Tool
  - PIEE
  - Solicitation Module
  - PPML
  - Section 889 Request
  - PALT
  - Protest Tracker
- Procurement Business Intelligence Service (PBIS)
  - User Community
  - Policies & Procedures
  - Procurement Data Analysis Reports
  - Resources for External Systems
- Data Standards
  - Schemas
  - EDI

**Procurement Business Intelligence Service (PBIS)**

PBIS is a data warehouse capability used for mining enterprise procurement data across the Department of Defense and displaying that information in a user-friendly dashboard-like presentation to understand the true health of the acquisition community's operating environment.

Its primary purpose is to serve Senior Procurement Executives and provide management-level reports that enable them to make well informed business decisions prior to issuing new procurement policy that may impact other functional areas within the DoD supply chain.

Defense Pricing and Contracting (DPC) Contracting eBusiness (CeB) has partnered with the Army's Project Directorate (PD) Acquisition, Logistics, and Technology Enterprise Systems Services (ALTESS) organization. ALTESS hosts the PBIS environment and DPC owns the mission for PBIS. DPC makes procurement data from the Federal Procurement Data System (FPDS-NG) available to users through a variety of PBIS reports and external system connections to the Procurement Business Intelligence Service Management Report Server (PBIS MRS).

The links below explain the different types of PBIS users, relevant policies, available reports, and connections that can be made to external systems.

- [User Community](#)  
Learn about the types of PBIS users and how to request access to the system.
- [Policies & Procedures](#)  
Acceptable use policy for system users.
- [Procurement Data Analysis Reports](#)  
Explore the reports available in PBIS for Report Users.
- [Resources for External Systems](#)  
Determine the resources that are available for System Data Users connecting to PBIS from an external system.

**PBIS Quick Links**

**Request a user account for PBIS Reports**

- [PBIS User Account Request Form](#)
- [Acceptable Use Policy](#)

**Request a user account for PBIS MRS**

- [PBIS MRS User Request Form](#)

To begin the process of connecting to PBIS Reporting or PBIS MRS, send the appropriate completed form via encrypted email to Ms. Lisa Romney ([janice.l.romney.civ@mail.mil](mailto:janice.l.romney.civ@mail.mil)).

**Launch the PBIS Reports site**

- [PBIS Reports Home](#)



# Extracting FAADC Data from USASpending.gov

The image shows the USASpending.gov website. The main header includes the USASpending.gov logo and navigation links: Spending Explorer, Award Search, Profiles, Download, and Resources. A dropdown menu for Award Search is open, showing options for Advanced Search and Keyword Search. A blue arrow points from the Advanced Search option to the Advanced Search page. The main content area features a large illustration of a cityscape with a bridge and the text "Analyze government spending by recipient". Below this is a "Start Searching Awards" button and a "Learn about USASpending.gov" button. The text "USASpending is the official open data source of federal spending information." is displayed. A "Pause text animation" button is also present. At the bottom, there are four statistics: "So far this year, the federal government plans to spend \$2.15 Trillion including...", "\$77.29 Billion on Veterans Benefits", "\$18.54 Billion on Transportation", and "\$11.47 Billion on Agriculture". A link to "See more breakdowns of federal spending" is provided. The Advanced Search page is also shown, featuring filters for Keyword, Time Period, and Award Type. The Time Period filter is set to FY 2021, and the Award Type filter is set to Grants. The main content area of the Advanced Search page shows "Spending by Prime Award" with a table of results and a "Gathering your data..." message.

USASpending.gov | Fiscal Data

An official website of the U.S. government

USASPENDING.gov

Spending Explorer Award Search Profiles Download Resources

Advanced Search

Keyword Search

Analyze government spending by recipient

Start Searching Awards

Learn about USASpending.gov

USASpending is the official open data source of federal spending information.

Pause text animation

So far this year, the federal government plans to spend \$2.15 Trillion including...

\$77.29 Billion on Veterans Benefits

\$18.54 Billion on Transportation

\$11.47 Billion on Agriculture

See more breakdowns of federal spending

Advanced Search

Filters

Submit Search

Keyword

Time Period

Fiscal Year Date Range

All Fiscal Years

PY 2022

PY 2021

PY 2020

PY 2019

PY 2018

PY 2017

PY 2016

PY 2015

PY 2014

PY 2013

PY 2012

PY 2011

PY 2010

PY 2009

PY 2008

Award Type

Contracts

Contract IDs

Grants

Direct Payments

Loans

Other

2 Active Filters:

TIME PERIOD AWARD TYPE

FY 2021 All Grants

TABLE TIME MAP CATEGORIES

Spending by Prime Award

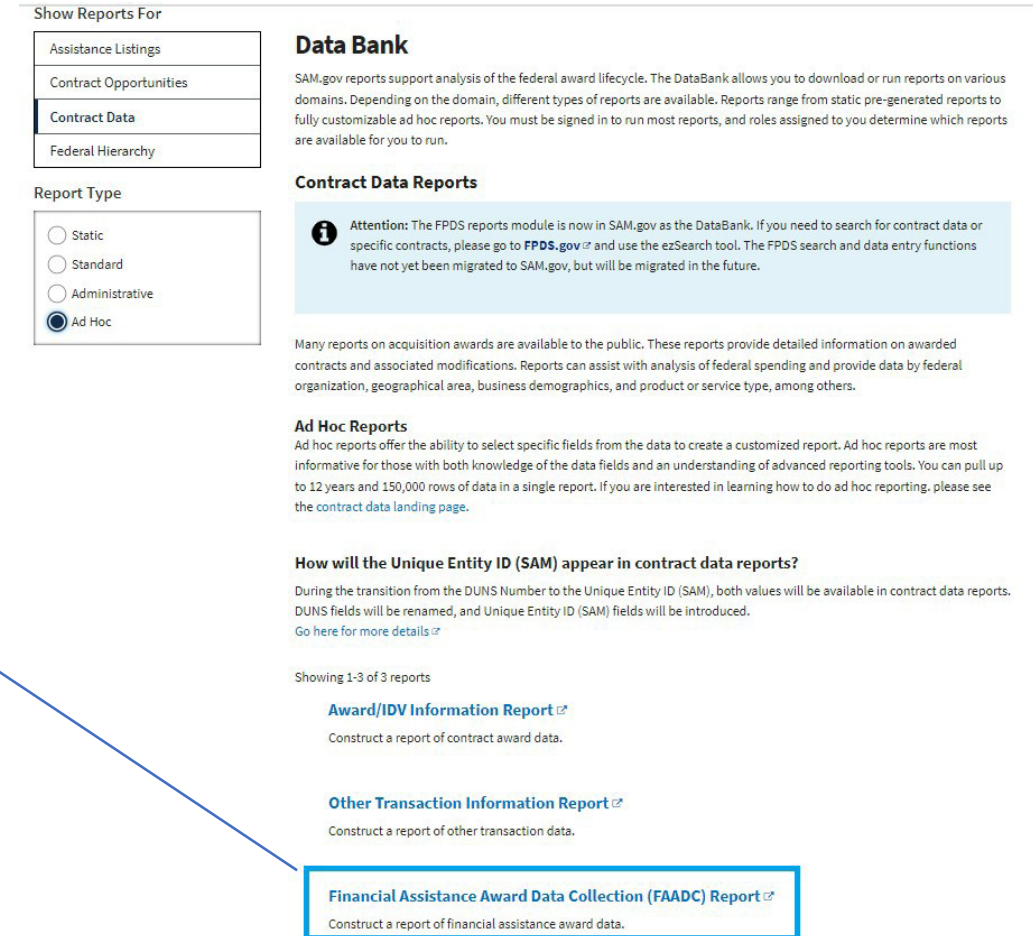
Contracts Contract IDs Grants Direct Payments Loans Other

Prisoner ID Dislocated Persons Start Date End Date Award Amount (Total Award Obligations to Date) Data Update

Gathering your data...

# Extracting FAADC Data from SAM.gov

- User requires CONTRACTS DATA ADMIN role to access FAADC AD HOC.
- Admin roles can only be requested. Contact your SAM Agency Admin to request the role.



The screenshot displays the SAM.gov Data Bank interface. On the left, there are two sections: 'Show Reports For' and 'Report Type'. 'Show Reports For' is a table with four rows: 'Assistance Listings', 'Contract Opportunities', 'Contract Data' (which is highlighted with a blue border), and 'Federal Hierarchy'. 'Report Type' is a form with four radio buttons: 'Static', 'Standard', 'Administrative', and 'Ad Hoc' (which is selected). On the right, the 'Data Bank' section provides an overview of the reporting capabilities. Below this, a 'Contract Data Reports' section contains an information icon and a warning message about the migration of FPDS reports. Further down, there are sections for 'Ad Hoc Reports', 'How will the Unique Entity ID (SAM) appear in contract data reports?', and a list of reports. The list includes 'Award/IDV Information Report', 'Other Transaction Information Report', and 'Financial Assistance Award Data Collection (FAADC) Report', which is highlighted with a blue border. A blue line connects the 'Ad Hoc' radio button to the 'Financial Assistance Award Data Collection (FAADC) Report'.

Show Reports For

Assistance Listings
Contract Opportunities
Contract Data
Federal Hierarchy

Report Type

☐ Static

☐ Standard

☐ Administrative

☒ Ad Hoc

### Data Bank

SAM.gov reports support analysis of the federal award lifecycle. The DataBank allows you to download or run reports on various domains. Depending on the domain, different types of reports are available. Reports range from static pre-generated reports to fully customizable ad hoc reports. You must be signed in to run most reports, and roles assigned to you determine which reports are available for you to run.

### Contract Data Reports

**i** Attention: The FPDS reports module is now in SAM.gov as the DataBank. If you need to search for contract data or specific contracts, please go to [FPDS.gov](https://fpds.gov) and use the ezSearch tool. The FPDS search and data entry functions have not yet been migrated to SAM.gov, but will be migrated in the future.

Many reports on acquisition awards are available to the public. These reports provide detailed information on awarded contracts and associated modifications. Reports can assist with analysis of federal spending and provide data by federal organization, geographical area, business demographics, and product or service type, among others.

### Ad Hoc Reports

Ad hoc reports offer the ability to select specific fields from the data to create a customized report. Ad hoc reports are most informative for those with both knowledge of the data fields and an understanding of advanced reporting tools. You can pull up to 12 years and 150,000 rows of data in a single report. If you are interested in learning how to do ad hoc reporting, please see the [contract data landing page](#).

### How will the Unique Entity ID (SAM) appear in contract data reports?

During the transition from the DUNS Number to the Unique Entity ID (SAM), both values will be available in contract data reports. DUNS fields will be renamed, and Unique Entity ID (SAM) fields will be introduced. [Go here for more details](#)

Showing 1-3 of 3 reports

**Award/IDV Information Report** [?](#)

Construct a report of contract award data.

**Other Transaction Information Report** [?](#)

Construct a report of other transaction data.

**Financial Assistance Award Data Collection (FAADC) Report** [?](#)

Construct a report of financial assistance award data.

# FAADC Current Status

- **SAM.gov is the authoritative source for Unique Entity ID**
- **Service Pack 12.0 was deployed on January 29th to add 2 new data elements:**
  - Indirect Cost Federal Share Amount
  - Funding Opportunity Goals Text
- **Service Pack 13.0 was deployed on April 1st**
  - Remove the DUNS from FAADC award forms and reports
  - SAM Exception entities are required to go to SAM to generate a UEI value, for record creation purposes in FPDS/FAADC.
  - 'SAM Exception' label as updated to '2 CFR Part 25 Exception' for versions 1-.0 in FAADC.
  - XML tags on web services and the atom feed were not changed.
  - 'CFDA' data element was renamed to 'Assistance Listing 'with the note (formerly known as CFDA).
  - Funding Opportunity Goals Text
- **Service Pack 15.0 was deployed on October 29th**
  - Users are no longer required to select the Business Types options when FAR 4.1102 (FPDS) and 2 CFR Part 25 (FAADC) Exceptions are selected.
  - Suppress the validation rule "FAADC:4G3": "Indirect Cost Federal Share Amount" should be less than or equal to Federal Action Obligation
- **Service Pack 16.0 was deployed on January 28th**
  - New validation rule (FAADC:5A2) implemented to prevent the value 'Funded by Recovery Act' from being selected for data element "Business Fund Indicator" in FAADC: If Action Date is June 28, 2019 or later, then "Business Fund Indicator" cannot be 'Funded by Recovery Act'.

# FAADC Current Status

- **For Atom Feeds:** As part of the transition from DUNS to UEI, the Entity Data Source transitioned from D&B to SAM.gov. The 'Entity Data Source' (entity Data Source' tag) data element was implemented in UEI Phase 1 (FPDS V1.5 Service Pack 9)
- FPDS and FAADC records finalized as of 4/2/2022 that contained a DUNS value will be defaulted to have a value of 'D&B' for the 'Entity Data Source' data element. This update was made to all existing records in the system internally. The 'Last Modified Date' of the records was not updated; therefore, these changes were not pushed downstream. ATOM FEED users shall update their systems records if they choose.
- For each downstream system that plans to populate data for the <entityDataSource> data element/tag, systems should default the value 'D&B' in this field for any Contract Actions or Assistance Awards (that have a DUNS and with an Action Date of 4/2/2022 or earlier).
- The value for the 'Entity Data Source field' is sourced from either the SAM extracts or SAM API (for SAM exception contract actions), and automatically populated for records.

# FAADC Current Status

Data Dictionary, Validation Rules, Certification Process, XML specifications and WSDLs updates are available at: [FPDS Worksite Portal](https://www.fpds.gov/fpdsng_cms/index.php/en/worksite.html)

[https://www.fpds.gov/fpdsng\\_cms/index.php/en/worksite.html](https://www.fpds.gov/fpdsng_cms/index.php/en/worksite.html)

Service Pack Release and Data Management History: [FPDS Status Portal](https://www.fpds.gov/fpdsng_cms/index.php/en/status.html)

[https://www.fpds.gov/fpdsng\\_cms/index.php/en/status.html](https://www.fpds.gov/fpdsng_cms/index.php/en/status.html)

\*FAADC Data Standard Schema is in the works.

After 4/2/2022, only Version 1.1 of the Assistance and Assistance Deleted Atom Feeds will be available for use.

# Lessons Learned

# FAADC Data Entry Lessons Learned

- NEW AWARD or Continuation have a MOD ZERO
  - Only when a new Award Number needs to be created as a continuation of another award. Not a common practice.
- Missing Base Award on an ACTIVE due to migration issue.
  - Contact our office to request historical data review and upload.
- FICE Issues. Users should select '999999' for FICE code on Entities (Recipients) that do not have one assigned at time of award
- How to resolve Deleting and Recreating an Award errors:
  - This FAIN was deleted and must be published in USASpending before reusing it

# FAADC Data Entry Lessons Learned

## Deleting and Recreating an Award:

- Users should check the FABS SENT DATE field.
- If a date shows, it means the record was sent to USASpending.gov as a valid award.
  - Proceed to Delete.
  - Before Recreating the award, users need to contact Jovanka Caton for further action and resolution.
  - Our office will submit a file with the deleted record to USASpending.gov, to mark it for removal from the system.
  - Once completed, our office will send an email to the user clearing them to proceed with recreating the award.
- If the FABS Sent Date is blank, means the record was not sent to USASpending.gov, and the user can proceed to delete and recreate immediately.



# FAADC Data Entry Lessons Learned

- Correcting an Assistance Listing (formerly CFDA) on a new Award:
  - If the award is finalized, and has not been sent to USASpending.gov, then the user can CORRECT the CFDA on the Base Award.
  - If the award is finalized and, by this time, sent to USASpending.gov, then the user must DELETE the award and recreate it.
- Correcting an Assistance Listing (formerly CFDA) on a Migrated Record:
  - If the award is a migrated record (from legacy system), with multiple amendments, user should contact our office ([jovanka.y.caton@dla.mil](mailto:jovanka.y.caton@dla.mil)), for additional support.
  - Our office will submit a Data Management (DM) fix request to the FAADC technical team.
    - At this point, the technical team will update the Assistance Listing on the record, to the new code.
    - This internal process will ensure that the Assistance Award and corrected Assistance Listing get updated in USASpending.gov.

# FAADC Data Entry Lessons Learned

Before Deleting and Recreating an Award, User must check the “Last FABS Sent Date” data field. If it has a Date, it means this award was sent to USASpending.gov

The screenshot displays the FAADC Data Entry interface. At the top, there is a navigation bar with a 'HOME' button and an 'Advanced Search' section with a search box labeled 'SEARCH BY FAIN / URI' and a 'GO' button. Below this is a row of action buttons: 'New', 'Save Draft', 'Validate', 'Approve', 'Correct', 'Amend', 'Save Tmpl', 'Delete', and 'Print'. A second row of buttons includes 'Exit' and 'Help'.

The main content area is divided into two sections. The first section, 'Transaction Information', contains a table with the following data:

Field	Value	Field	Value
Record Type:	Non-Aggregate	Created Date:	01/06/2022 23:59:10
Award Status:	Final	Approved Date:	01/07/2022 00:07:55
Assistance Type:	Project Grant	Last Modified Date:	02/03/2022 00:00:00
Closed Status:	No	Closed Status Date:	
		Last FABS Sent Date:	01/18/2022 20:18:42

The 'Last FABS Sent Date' field is highlighted with a red box, and a red arrow points to it from the right. The second section, 'Award Identification Information', contains a table with the following data:

Award ID:	Agency	FAIN	Amendment Number
9700	...	FA23862210036	0

# FAADC Data Quality

## What we need from components:

- Close out financial assistance awards in which performance has ended.
- Follow the Close-Out Worksheet the Grants Working Group put together.
- Policy Office [Email:osd.pentagon.ousd-r-e.mbx.dod-grants-policy-office@mail.mil](mailto:osd.pentagon.ousd-r-e.mbx.dod-grants-policy-office@mail.mil)
- Correct/Validate/Finalize or Delete any DRAFT record for your Agency.
- Use the Advanced Search or Assistance Extract tools within FAADC.
- DoD Users should **only select NON-AGGREGATE** Record Type to report/submit Assistance data to FAADC.
- Non-Aggregate Individual and Aggregate Record Types do not accept Entity Information.
- Clean-up your data: Identify any anomalies your active data may have and resolve them in a timely manner. Reduce sending bad data to USASpending.gov.

# FAADC Anomaly Reports

We are developing some potential anomaly reports that can assist in enhancing the accuracy of the data reported in FAADC.

These reports should be able to assist Agencies in resolving data integrity issues for the DoD Grants community.

## **Anomaly Report Descriptions:**

- *Competed but One Proposal/Application –*

This report includes awards that are marked as COMPETED but showing "1" under Number of Proposals / Applications.

- *Invalid Funding Agency Used–*

This report include awards that were reported with the wrong Funding Agency/Office from DFAS. DFAS office should not be used as a Funding office since they are the Payment office for an award.

# FAADC Anomaly Reports

- **Funded by Recovery Act**

- This report include awards that were reported with “Funded by Recovery Act Business Fund Indicator, which hasn’t been an active ACT for years
- These awards were coded wrong and should be corrected.

- **Record Type Non-Aggregate Individual**

- This report include awards that were reported incorrectly under RECORD TYPE NON-AGGREGATE INDIVIDUAL; which is only used if awarding to persons and if there’s a need to mask the UEI to protect PII.
- All DoD Assistance Awards should be reported under **NON-AGGREGATE** Record Type, which displays the Recipient Information and UEI as required per the DATA ACT Transparency Requirements.

# What's New?

# The Policy

- Data is one of the most valuable assets of the Federal Government and, if leveraged effectively, it can be used to transform the way society, businesses, recipients, and local Government interface with the Federal Government.
  - Memos created to reassure data accuracy:
    - Federal Funding Accountability and Transparency Act (Transparency Act)
    - The Digital Accountability and Transparency Act (DATA Act) and more recently,
    - The Grant Reporting Efficiency and Agreement Transparency Act (GREAT Act)
  - Requires better use of data standards to enable more information about Federal financial assistance available online to the public. Information
- Extracted from M-21-03

# The Policy

- Implementing OMB Memorandum-M22-02, “New Financial Assistance Transparency Reporting Requirements”.
  - <https://www.whitehouse.gov/wp-content/uploads/2020/04/M-20-22.pdf>
- Required effective April 4, 2022- For grants and cooperative agreements, agencies must report ‘Indirect Cost Federal Share Amount’.
  - Definition: Is the total amount of any single Federal award action that is allocated, per the award recipient’s approved award budget, to indirect costs.
- Optional since January 29, 2022: For competitive discretionary grants and cooperative agreements, agencies shall report the Funding Opportunity Goals Text.
  - Definition: Brief summary of the intended outcomes associated with the Notice Of Funding Opportunity.
- Reporting Multiple Assistance Listings per funding on an Award.



# Status of M-22-02 Implementation

- Funding Opportunity Number and Funding Opportunity Goals Text fields were scheduled to become mandatory on 10/01/2023.
- Such rules cannot be created until the Assistance Listings are updated so that competitive, discretionary grants and cooperative agreements can be distinguished from other types.
- Requirement paused: OMB policy in M-22-02 requiring agencies to submit Funding Opportunity Number and Funding Opportunity Goals Text will not be enforced via the validation rules on FY2023 release
- We identified an incorrect Validation rule in USASpending.gov FABS45 that reads: “When both Indirect Cost Federal Share Amount and Federal Action Obligation are provided, either both must be zero; or both must share the same sign and Indirect Cost Federal Share Amount must be less than or equal to Federal Action Obligation (in absolute value terms).

# Status of M-22-02 Implementation

- Implementing the Multiple Assistance Listing (CFDA) Reporting Capability in FAADC (per-M22-02), will result in a version change.
- Impacts: Add new Complex data fields, Schema changes, new validation rules, CWS recertification, User training.
- FAADC Version 1.2 Deployment Schedule:
  - Beta Deployment: 5/22/2023 - 5/22/2023
  - GAT Testing: 7/12/2023 - 7/21/2023
  - Production Deployment: 8/19/2023 - 8/19/2023

# FAADC Version Change

## The Logic

# Disclosure

All proposed content within this presentation is still under development by GSA. No content has been officially approved or released for publication. Requirements and designs are subject to change. Not intended for dissemination.

# Multiple Assistance Listing Logic

- As of 10/01/2021 Agencies should be able to collect multiple Assistance Listing (formerly known as CFDA) numbers for each record created. Current functionality in FAADC only allows for one Assistance Listing number to be recorded per family (base and amendments).
- Proposed functionality will allow a record to contain multiple Assistance Listings across the family (base and amendments).
- There is no limit on the number of Assistance Listings that can be input into a record; for this we plan to make the first Assistance Listing entered onto a grant be the dominant one and cannot be removed from that grant.

# Current Display (Non-Aggregate)

The screenshot shows a web form titled "Award Identification Information". It contains several sections: "Award ID" with fields for Agency (FAIN), Award ID (9700), and Amendment Number (0); "Action Type" with a dropdown menu; "Assistance Listing" with a dropdown menu and a text field for the title; "Funding Opportunity" with fields for Number, Goals Text, and State Application ID; "Dates" with fields for Action Date, Start Date, and End Date; "Amounts" with a table of dollar values; and "Research and Development Funds" with dropdown menus. A red arrow points from the "Assistance Listing" section to a box at the bottom left. A green arrow points from the "Amounts" table to a box at the bottom right.

**Award Identification Information**

Agency: FAIN Amendment Number: 0

Award ID: 9700

URI:

Action Type: Select One

**Assistance Listing:** Assistance Listing Program Title:

(formerly known as CFDA):

Funding Opportunity Number:

Business Fund Indicator: Select One

Funding Opportunity Goals Text: (18,000 characters)

State Application ID (SAI) Number: Select One

**Dates**

Action Date (mm/dd/yyyy):

Period of Performance Start Date (mm/dd/yyyy):

Period of Performance End Date (mm/dd/yyyy):

**Amounts**

Federal Action Obligation:	\$0.00
Total Federal Award Value: (Including Base and All Options if applicable)	\$0.00
Indirect Cost Federal Share Amount:	\$0.00
Non-Federal Funding Amount:	\$0.00
Face Value of Direct Loan or Loan Guarantee:	\$0.00
Original Loan Subsidy Cost:	\$0.00

**Research and Development Funds**

Research and Development Funds Indicator: Select One

Type of R&D Funds: Select One

**Current Location for Assistance Listing and Assistance Listing Program Title**

**Current Location for Dollar Amount Values**

# Future Display (Non-Aggregate)

Award Identification Information

Award ID: \*

Agency: FAIN

Amendment Number: 0

URI

Action Type: \*

Select One

Funding Opportunity Number:

Funding Opportunity Goals Text: (18,000 characters)

Business Fund Indicator: \*

Select One

State Application ID (SAI) Number:

Select One

Dates

Action Date (mm/dd/yyyy): \*

Period of Performance Start Date (mm/dd/yyyy): \*

Period of Performance End Date (mm/dd/yyyy): \*

Total Amounts

Total Federal Action Obligation:

\$5000.00

Total Federal Award Value: \*

\$20000.00

Indirect Cost Federal Share Amount:

\$6000.00

Non-Federal Funding Amount: \*

\$80000.00

Total Face Value of Direct Loan or Loan Guarantee:

\$0.00

Total Original Loan Subsidy Cost:

\$0.00

Assistance Listings and Amounts

Expand All

Collapse All

- AGRICULTURAL RESEARCH BASIC AND APPLIED RESEARCH

Remove Assistance Listing

Assistance Listing (formerly known as CFDA): \*

10.001

Federal Action Obligation: \*

\$5000.00

Face Value of Direct Loan or Loan Guarantee:

\$0.00

Original Loan Subsidy Cost:

\$0.00

+ WILDLIFE SERVICES

Remove Assistance Listing

- Select Assistance Listing...

Remove Assistance Listing

Assistance Listing (formerly known as CFDA): \*

Federal Action Obligation: \*

\$0.00

Face Value of Direct Loan or Loan Guarantee:

\$0.00

Original Loan Subsidy Cost:

\$0.00

Add Additional Assistance Listing

Research and Development Funds

Research and Development Funds Indicator: \*

Select One

Type of R&D Funds: \*

Select One

**Note:** This same design will be applied to Aggregate and Non-Aggregate Individual records types.

**Updated Amounts section**

**Updated Assistance Listing Location and Amounts**

# Future Display Explanation

A

Total Amounts	
Total Federal Action Obligation:	\$5000.00
Total Federal Award Value: (Including Base and All Options if applicable)	\$20000.00
Indirect Cost Federal Share Amount:	\$6000.00
Non-Federal Funding Amount:	\$80000.00
Total Face Value of Direct Loan or Loan Guarantee:	\$0.00
Total Original Loan Subsidy Cost:	\$0.00


**Total Federal Action Obligation:** The Total Federal Action Obligation will be a new data element, auto calculated based off the Assistance Listing Federal Action Obligation amounts.

**Total Face Value of Direct Loan or Loan Guarantee** and **Total Original Loan Subsidy Cost** These are new data elements that will be auto calculated based off the Assistance Listing's Face Value of Direct Loan or Loan Guarantee and Total Original Loan Subsidy Cost amounts respectively.



# Future Display Explanation

B



Assistance Listings and Amounts				Expand All	Collapse All
- AGRICULTURAL RESEARCH BASIC AND APPLIED RESEARCH				Remove Assistance Listing	
Assistance Listing (formerly known as CFDA): *	10.001	Face Value of Direct Loan or Loan Guarantee:	\$0.00		
Federal Action Obligation: *	\$5000.00	Original Loan Subsidy Cost:	\$0.00		
+ WILDLIFE SERVICES				Remove Assistance Listing	
- Select Assistance Listing...				Remove Assistance Listing	
Assistance Listing (formerly known as CFDA): *		Face Value of Direct Loan or Loan Guarantee:	\$0.00		
Federal Action Obligation: *	\$0.00	Original Loan Subsidy Cost:	\$0.00		
Add Additional Assistance Listing					

**Assistance Listing Program Title** : Titles are used as the section identifiers for each Assistance Listing sub-section. If a user selects the 'Add Additional Assistance Listing' button at the bottom of this record section, the text 'Select Assistance Listing' will appear until the user enters/selects an Assistance Listing value.

**Assistance Listing Value + Dollar Amounts**: The Assistance Listing data element and respective dollar amount values will be listed for each Assistance Listing added to the form.

# Assistance Extract

## Current Display

\*\*Some columns of the report were dropped here for simplicity purposes. No columns will be removed or rearranged during actual deployment.

A	B	C	D	E	F	G	H
FINANCIAL_ASSISTANCE_ID	AMENDMENT_NUMBER	RECORD_TYPE	ASSISTANCE_TYPE	ACTION_DATE	FEDERAL_ACTION_OBLIGATION	ASSISTANCE_LISTINGS	ASSISTANCE_LISTINGS_PROGRAM_TITLE
W912J7221COOK		0	2	2/17/2022 0:00	9000	10.001	AGRICULTURAL RESEARCH BASIC AND APPLIED RESEARCH
W912J7221COOK	A11111		2	2/17/2022 0:00	8500	10.001	AGRICULTURAL RESEARCH BASIC AND APPLIED RESEARCH
W912J7221NABC		0	2	2/19/2022 0:00	4500	11.417	SEA GRANT SUPPORT
W912J7221NOOK		0	2	2/17/2022 0:00	8000	10.001	AGRICULTURAL RESEARCH BASIC AND APPLIED RESEARCH
W912J7221NSAM		0	2	2/11/2022 0:00	100	12.002	PROCUREMENT TECHNICAL ASSISTANCE FOR BUSINESS FIRMS

## Future Display

A	B	C	D	E	F	G	H
FINANCIAL_ASSISTANCE_ID	AMENDMENT_NUMBER	RECORD_TYPE	ASSISTANCE_TYPE	ACTION_DATE	FEDERAL_ACTION_OBLIGATION	ASSISTANCE_LISTINGS	ASSISTANCE_LISTINGS_PROGRAM_TITLE
W912J72211234		0	2	4/8/2022 0:00	2500 : 5000 : 3500	10.001 : 11.417 : 10.028	AGRICULTURAL RESEARCH BASIC AND APPLIED RESEARCH : SEA GRANT SUPPORT : WIL
W912J7221BOOK		0	2	2/17/2022 0:00	500	10.001	AGRICULTURAL RESEARCH BASIC AND APPLIED RESEARCH
W912J7221BOOK	A11111		2	2/17/2022 0:00	12000 : 24000	12.002 : 10.001	PROCUREMENT TECHNICAL ASSISTANCE FOR BUSINESS FIRMS : AGRICULTURAL RESEA



The Assistance Extract will keep the grant on one line, but each value will be separated by colons and will be ordered in the same way the values were entered on the grant. This is similar to the FABS\_BUSINESS\_TYPES\_CODE and FABS\_BUSINESS\_TYPES\_DESCRIPTION listed on the Assistance Extract.

# Current Atom Feed Display

```
▼<ns1:dollarValues>
  <ns1:federalActionObligationAmount>0.00</ns1:federalActionObligationAmount>
  <ns1:nonFederalFundingAmount>0.00</ns1:nonFederalFundingAmount>
  <ns1:totalFederalAwardValueAmount>0.00</ns1:totalFederalAwardValueAmount>
</ns1:dollarValues>
▶<ns1:providerInformation>
  ...
</ns1:providerInformation>
▼<ns1:programInformation>
  <ns1:CFDANumber>12.335</ns1:CFDANumber>
  <ns1:CFDAProgramTitle>NAVY COMMAND, CONTROL, COMMUNICATIONS, COMPUTERS, INTELLIGENCE, SURVEILLANCE, AND RECONNAISSANCE</ns1:CFDAProgramTitle>
</ns1:programInformation>
```

# Future Atom Feed Display

Three separate Assistance Listings entered on the record.

```
▼<ns1:programInformation>
  ▼<ns1:ALDetails
    <ns1:ALNumber12.800</ns1:CFDANumber>
    <ns1:ALProgramTitleAIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM</ns1:CFDAProgramTitle>
    <ns1:federalActionObligationAmount>302831.00</ns1:federalActionObligationAmount>
    <ns1:nonFederalFundingAmount>0.00</ns1:nonFederalFundingAmount>
    <ns1:faceValueOfDirectLoanOrLoanGuaranteeAmount>0.00</ns1:faceValueOfDirectLoanOrLoanGuaranteeAmount>
    <ns1:originalLoanSubsidyAmount>0.00</ns1:originalLoanSubsidyAmount>
    <ns1:totalFederalAwardValueAmount>302831.00</ns1:totalFederalAwardValueAmount>
    <ns1:indirectCostFederalShareAmount0.00</ns1:indirectCostFederalShareAmount>
  </ns1:ALDetails>
  ▼<ns1:ALDetails
    <ns1:ALNumber10.001</ns1:CFDANumber>
    <ns1:ALProgramTitleAGRICULTURAL RESEARCH BASIC AND APPLIED RESEARCH</ns1:CFDAProgramTitle>
    <ns1:federalActionObligationAmount>0.00</ns1:federalActionObligationAmount>
    <ns1:nonFederalFundingAmount>0.00</ns1:nonFederalFundingAmount>
    <ns1:faceValueOfDirectLoanOrLoanGuaranteeAmount>0.00</ns1:faceValueOfDirectLoanOrLoanGuaranteeAmount>
    <ns1:originalLoanSubsidyAmount>0.00</ns1:originalLoanSubsidyAmount>
    <ns1:totalFederalAwardValueAmount>0.00</ns1:totalFederalAwardValueAmount>
    <ns1:indirectCostFederalShareAmount0.00</ns1:indirectCostFederalShareAmount>
  </ns1:ALDetails>
  ▼<ns1:ALDetails
    <ns1:ALNumber12.335</ns1:CFDANumber>
    <ns1:ALProgramTitleNAVY COMMAND, CONTROL, COMMUNICATIONS, COMPUTERS, INTELLIGENCE, SURVEILLANCE, AND RECONNAISSANCE</ns1:CFDAProgramTitle>
    <ns1:federalActionObligationAmount>0.00</ns1:federalActionObligationAmount>
    <ns1:nonFederalFundingAmount>0.00</ns1:nonFederalFundingAmount>
    <ns1:faceValueOfDirectLoanOrLoanGuaranteeAmount>0.00</ns1:faceValueOfDirectLoanOrLoanGuaranteeAmount>
    <ns1:originalLoanSubsidyAmount>0.00</ns1:originalLoanSubsidyAmount>
    <ns1:totalFederalAwardValueAmount>0.00</ns1:totalFederalAwardValueAmount>
    <ns1:indirectCostFederalShareAmount0.00</ns1:indirectCostFederalShareAmount>
  </ns1:ALDetails>
</ns1:programInformation>
```

The FAADCAtom Feed will list all Assistance Listing values for each grant in the order they were entered on the grant.

\*\*Some data of the Atom Feed was dropped here for simplicity purposes.

# Preparation for Awarding Systems Re-Certification

- Contact CMANAGE at [fpdscmanage@gsa.gov](mailto:fpdscmanage@gsa.gov) for the System Certification Process Package, which can be found in FPDS - WORKSITE tab
  - [https://www.fpds.gov/fpdsng\\_cms/index.php/en/worksites.html](https://www.fpds.gov/fpdsng_cms/index.php/en/worksites.html)
- Please cc' [jovanka.y.caton@dla.mil](mailto:jovanka.y.caton@dla.mil) in all correspondences regarding this topic.

# FAADC DoD Administrators and Grants Policy Team



- Contact the DoD FAADC System Administrator at: [jovanka.y.caton@dla.mil](mailto:jovanka.y.caton@dla.mil) for technical issues that cannot get resolved at your Agency Admin level, or USASpending.gov data submission issues with FSRS, FICE code questions
- Contact the DoD Grants Policy Office at: [osd.pentagon.ousd-re.mbx.dod-grants-policy-office@mail.mil](mailto:osd.pentagon.ousd-re.mbx.dod-grants-policy-office@mail.mil) for Policy related questions (i.e., Assistance Listing inquiries or annual updates).



# Supplemental Information



# Introduction to the Financial Assistance Award Data Collection (FAADC) Module

# FAADC Registration Process

- Who can access FAADC?
  - Government Users only.
- How to request access?
  - **For Online Access:** Contact your Agency FAADC System Admin for roles once registered.
  - **For CWS Handshake** Contact J ovanka C. and [cmanage@us.ibm.com](mailto:cmanage@us.ibm.com) for certification and integration requirements.



Who is your Agency Admin?

# FAADC User Roles

## Financial Assistance Administrator

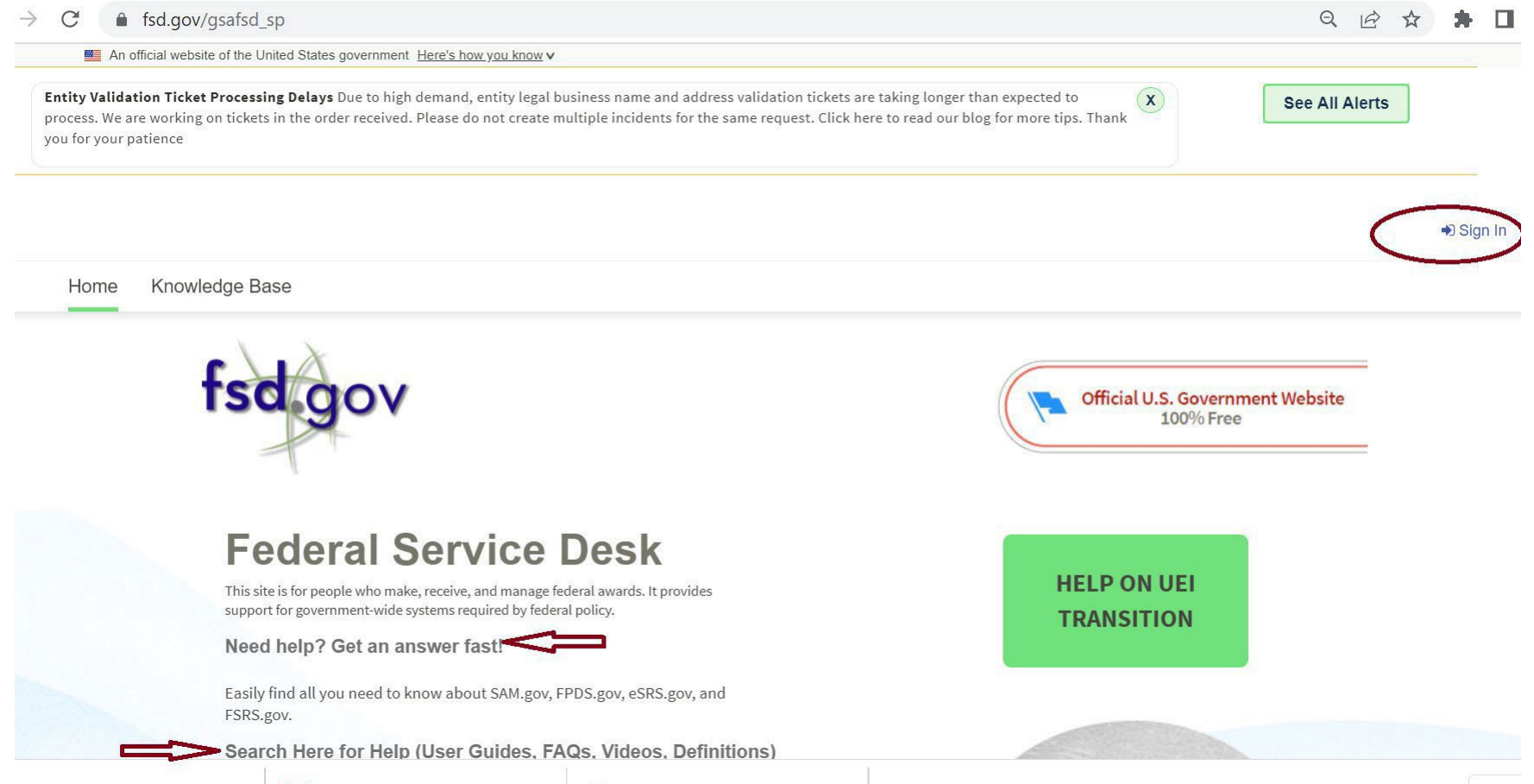
- Create, update and enable/disable Users.
- Assign User Privileges
- Run the FABS Report for USASpending.gov
- For DoD, this report is executed at the Department level (9700)

## Financial Assistance Officer

- Create, Save Draft, Validate and Approve an Award.
- Amend an Award
- Run FAADC Extract report.

# FAADC Help Desk

- Submit FAADC related issues to FSD.gov  
[https:// FSD.gov](https://FSD.gov)



# A LOOK INSIDE FAADC



# FAADC Login

- **Grants only Users:** <https://www.fpds.gov/common/jsp/altFAADCLogin.jsp> to access the FAADC System login.

The diagram illustrates the login process for the Financial Assistance Award Data Collection (FAADC) system. It starts with the main FAADC portal, which has a 'Login-MFA' section with a 'Log In' button. A callout box explains that 'MFA stands for Multi-Factor Authentication Login' and that 'The MFA takes you to log in via Login.gov'. An arrow points from the 'Log In' button to a detailed screenshot of the Login.gov interface. This interface includes fields for 'Email address' and 'Password', a 'Show password' checkbox, and buttons for 'Sign in' and 'Create an account'. It also features links for 'Sign in with your government employee ID', 'Back to FPDS', 'Forgot your password?', and 'Security Practices and Privacy Act Statement is'.

fpds.gov/common/jsp/altFAADCLogin.jsp

## Financial Assistance Award Data Collection (FAADC)

Login-MFA

**Log In**

[Help](#)

The MFA takes you to log in via Login.gov

MFA stands for Multi-Factor Authentication Login

LOGIN.GOV FPDS

FPDS is using Login.gov to allow you to sign in to your account safely and securely.

Email address

Password ☐ Show password

**Sign in**

Create an account

[Sign in with your government employee ID](#)

[Back to FPDS](#)

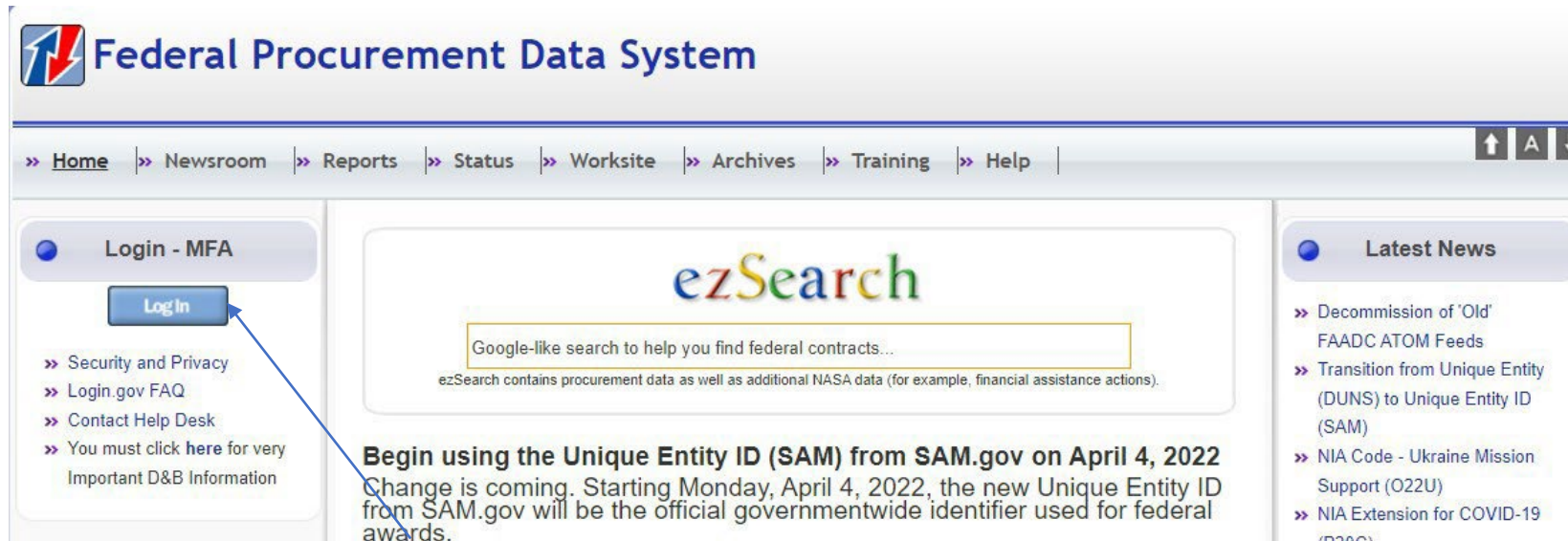
[Forgot your password?](#)

[Security Practices and Privacy Act Statement is](#)

[Privacy Act Statement is](#)

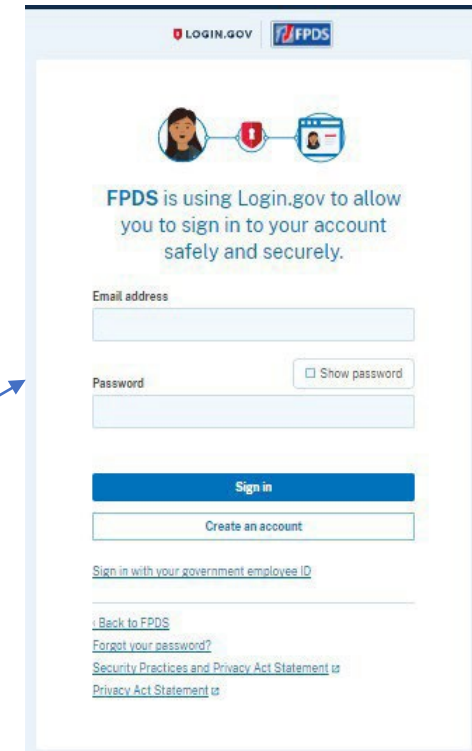
# FAADC Login

- **Procurement and Grants Users:** <https://www.fpds.gov/> to login. This link can be used to



MFA stands for Multi-Factor Authentication Login

The MFA takes you to log in via Login.gov



# FAADC Home Page

The screenshot shows the FAADC Home Page interface. At the top, it displays 'Logged in as: DODADMIN2' and navigation links for 'Advanced Search', 'SEARCH BY FAIN / URI', 'GO', 'Help', and 'Log Off'. Below this is a toggle for 'Assistance' and 'Contracts'. The main content area is divided into three columns: 'Financial Assistance', 'System Administration', and 'Reports'. The 'Financial Assistance' column includes links for 'Aggregate', 'Non-Aggregate', 'Non-Aggregate Individual', and a 'Financial Assistance Inbox' showing counts for 'Draft Assistance (0)', 'Final Assistance (0)', and 'Template Assistance (0)'. The 'System Administration' column includes links for 'Users', 'Organizations' (with sub-links for Department, Agency, Organization, and Funding & Awarding Offices), 'CFDA Assistance Listing', and 'FICE FICE Code'. The 'Reports' column includes links for 'Assistance Extract' and 'FABS Extract'. At the bottom, there are links for 'For an audit log, please click here' and 'To submit comments, please click here'.

Logged in as: DODADMIN2   Advanced Search   SEARCH BY FAIN / URI   GO   Help   Log Off

Assistance   Contracts

**Financial Assistance**

- Aggregate
- Non-Aggregate
- Non-Aggregate Individual

**Financial Assistance Inbox**

- Draft Assistance (0)
- Final Assistance (0)
- Template Assistance (0)

**System Administration**

- Users
- Organizations
  - > Department
  - > Agency
  - > Organization
  - > Funding & Awarding Offices
- CFDA Assistance Listing
- FICE FICE Code

**Reports**

- Assistance Extract
- FABS Extract

For an audit log, please click here

To submit comments, please click here

If users also have access to FPDS for contract reporting, they can toggle to that screen

This is the column applicable to most users – select record type to enter

This is the user's inbox – can click into the list of specific records the user has entered themselves (indraft or final state)

Keyword or Advanced Search available to locate a specific record

This produces a report with all the data fields available on the award forms

Special privilege for the Dept. or Agency System Administrator that is responsible for FABS reporting



# FAADC Home Page

## Selection of Record Type to Enter

Choose the type of Federal Assistance Record and then the type of Federal Assistance from the drop-down

ALERT: DoD should use 'Non-Aggregate' Record Type, to report Assistance Award Actions

The screenshot displays the FAADC Home Page interface. At the top, it shows the user is logged in as 'DODADMIN2' with links for 'Advanced Search', 'SEARCH BY FAIN / URI', 'GO', 'Help', and 'Log Off'. Below this is a navigation bar with 'Assistance' and 'Contracts' tabs. The main content area is divided into three columns: 'Financial Assistance', 'System Administration', and 'Reports'. Under 'Financial Assistance', there is a 'Non-Aggregate' record type selected, which has opened a dropdown menu. The dropdown menu lists various record types: 'Block Grant', 'Formula Grant', 'Project Grant' (highlighted), 'Cooperative Agreement', 'Direct Payment', 'Direct Loan', 'Guaranteed/Insured Loan', 'Insurance', 'Direct Payment with Unrestricted Use', and 'Other Financial Assistance'. A tooltip 'Non-Aggregate: Project Grant' is visible next to the 'Project Grant' option. The 'System Administration' column shows a 'Users' link. The 'Reports' column shows 'Assistance Extract' and 'FARS Extract' links. At the bottom, there is a 'Financial Assistance Inbox' section with counts for 'Draft Assistance (0)', 'Final Assistance (0)', and 'Template Assistance (0)'. A footer bar contains links for 'For an audit log, please click here' and 'To submit comments, please click here'.

Logged in as: DODADMIN2   Advanced Search   SEARCH BY FAIN / URI   GO   Help   Log Off

Assistance   Contracts

**Financial Assistance**

- Aggregate
- Non-Aggregate**
- Non-Aggregate Individual

**System Administration**

- Users

**Reports**

- Assistance Extract
- FARS Extract

**Financial Assistance Inbox**

- Draft Assistance (0)
- Final Assistance (0)
- Template Assistance (0)

Block Grant  
Formula Grant  
**Project Grant**  
Cooperative Agreement  
Direct Payment  
Direct Loan  
Guaranteed/Insured Loan  
Insurance  
Direct Payment with Unrestricted Use  
Other Financial Assistance

Non-Aggregate: Project Grant

For an audit log, please click here

To submit comments, please click here

# Non-Aggregate Project Grant 1/3

Transaction Info section is system generated based on user login and type of record chosen

Dates section is where the user enters the date of the specific action and the period of performance of the whole award represented by the FAIN

R&D section is where DoD users identify what type of R&D funding was used and how it was broken out for the award

The screenshot shows a web-based form for a Non-Aggregate Project Grant. The form is divided into several sections: Transaction Information, Award Identification Information, Dates, Amounts, and Research and Development Funds. Callouts from external text boxes point to specific fields in the form.

**Transaction Information**

Record Type:	Non-Aggregate	Created Date:	04/14/2022 20:28:23	Created By:	DODADMIN2
Award Status:	NEW	Approved Date:		Approved By:	
Assistance Type:	Project Grant	Last Modified Date:		Last Modified By:	
Closed Status:		Closed Status Date:		Closed By:	
		Last FABS Sent Date:			

**Award Identification Information**

Award ID: \* 9700 Agency: FAIN Amendment Number: 0

URI: \*

Action Type: \* Select One

Assistance Listing: \* Assistance Listing Program Title: \*

Funding Opportunity Number: \*

Funding Opportunity Goals Text: (18,000 characters) \*

State Application ID (SAI) Number: \* Select One

Business Fund Indicator: \* Select One

**Dates**

Action Date (mm/dd/yyyy) : \*

Period of Performance Start Date (mm/dd/yyyy) : \*

Period of Performance End Date (mm/dd/yyyy) : \*

**Amounts**

Federal Action Obligation: *	\$0.00
Total Federal Award Value: *	\$0.00
(Including Base and All Options if applicable)	
Indirect Cost Federal Share Amount: *	\$0.00
Non-Federal Funding Amount: *	\$0.00
Face Value of Direct Loan or Loan Guarantee: *	\$0.00
Original Loan Subsidy Cost: *	\$0.00

**Research and Development Funds**

Research and Development Funds Indicator: \* R&D Funds Type of R&D Funds: \* Select One

Research and Development Amount: \$0.00

Fellowships and Traineeships Amount: \$0.00

R&D Plant Amount: \$0.00

Facilities & Equipment for Instruction in Science

General Support for Science & Engineering Amo

Other Activities Related to Science & Engineerin

All Other Activities Not Related to Science & Eng

Basic Research (BA "6.1")

Applied Research (BA "6.2")

Advanced Technology Development (BA "6.3")

Advanced Component Development & Prototypes (BA "6.4")

System Development & Demonstration (BA "6.5")

RTD&E Management Support (BA "6.6")

Operational Systems Development (BA "6.7")

Not Applicable

Award ID Information is where the user enters the FAIN and selects the Action Type and Assistance Listing (CFDA) Program (lookup provided)

Amounts section is where the user enters the amount being obligated on this action, any non-federal funds, and the total expected award value over time (anticipating all future obligations under this FAIN)

# FAIN RULES

- The Award Number must be 13 characters long.
- The first six positions must be alphanumeric with no special characters or spaces, and represent the appropriate Awarding Office Code of the Agency issuing the assistance award.
- The 7th and 8th positions must represent the Fiscal Year of the award
- Once an agency assigns a FAIN and reports it to USAspending.gov, the Federal agency may not change the FAIN during the life of the award
- The Awarding Office code must be a valid DODAAC.
  - If users are getting an error, please contact our office. More than likely the DoDAAC needs to be flagged as a Grant in FPDS and other systems.

# FAIN RULES

- The ninth position must be numeric values of 1, 2 or 3, representing the award classification:
  - "1" for grants
  - "2" for cooperative agreements, including technology investment agreements that are cooperative agreements
  - "3" for other non-procurement instruments, including technology investment agreements that are not cooperative agreements.
- The 10th through 13th positions must be numeric values representing the serial number of the award.

# Non-Aggregate Project Grant 2/3

GovInfo section is where the user identifies the awarding office (AAC/DODAAC) and fundingsubtier agency; as well as funding office (AAC/DODAAC) and fundingsubtier agency (lookups provided)

FABS Business Category populates based on the recipient's business types in SAM record

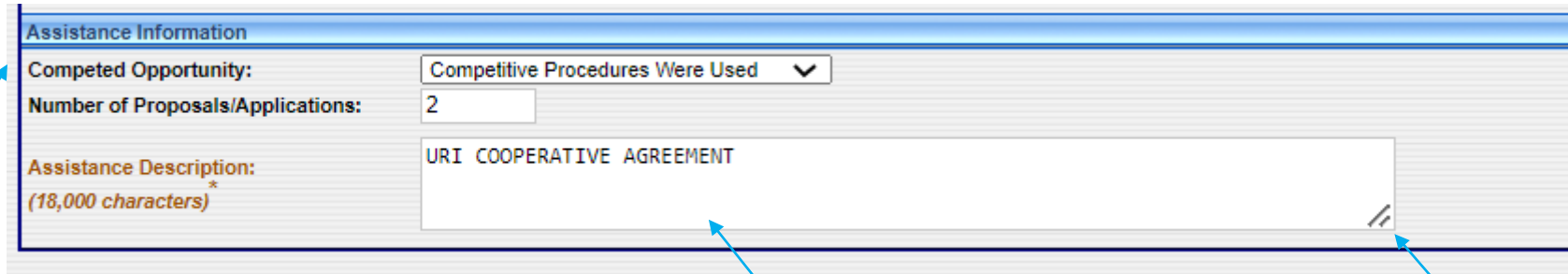
Place of Performance section is where the user identifies the type of performance location for the award—remaining applicable data elements depend on this choice (lookups available for zip codes and country codes)

<b>Government Information</b>			
Awarding Sub-Tier Agency Code:	1700	Awarding Sub-Tier Agency Name:	DEPT OF THE NAVY
Awarding Office Code:	N00173	Awarding Office Name:	NAVAL RESEARCH LABORATORY
Funding Sub-Tier Agency Code:	1700	Funding Sub-Tier Agency Name:	DEPT OF THE NAVY
Funding Office Code:	N00173	Funding Office Name:	NAVAL RESEARCH LABORATORY
<b>Entity Information</b>			
2 CFR Part 25 Exception: None			
Unique Entity ID:	NFS9RNJVPM7	Street:	75 LOWER COLLEGE RD
Legal Business Name:	UNIVERSITY OF RHODE ISLAND	Street2:	
DBAN:		City:	KINGSTON
CAGE Code:	3N251	State:	RI Zip: 028811974
FICE Code:	003414	Country Code:	USA UNITED STATES
Individual Entity Indicator:	Not an Individual	Phone:	4018742636
Small Business Indicator:	Other than Small Business	Fax No:	4018744272
		Congressional District:	RHODE ISLAND 02
<b>FABS Business Category</b>			
FABS Business Types			
✓ State Government			
✓ Public/State Controlled institution of Higher Learning			
<b>SAM Business Category</b>			
Business Types			
✓ U.S. State Government			
Line Of Business			
✓ Educational Institution			
Relationship With Federal Government			
✓ Both (Contracts and Grants)			
Educational Entities			
✓ 1862 Land Grant College			
✓ State Controlled Institution of Higher Learning			
<b>Principal Place of Performance</b>			
Principal Place of Performance Code: Single Zip Code Wide			
State Location Country			
MS USA			
Principal Place of Performance:			
Principal Place of Performance County Name: HANCOCK			
Principal Place of Performance City Name: STENNIS SPACE CENTER			
Principal Place of Performance Country Name: UNITED STATES			
Congressional District Place of Performance: MISSISSIPPI 04			
Place of Performance Zip Code(+4): 39529 - 0001 USPS ZIP Codes			
Principal Place of Performance Foreign Location Description: (100 characters)			

Recipient Info is where the user identifies the recipient by their DUNS number; name and address prefills based on SAM record. User also identifies whether the recipient is a small business for this award

SAM Business Category populates based on the recipient's SAM record

# Non-Aggregate Project Grant 3/3



Assistance Information

Competed Opportunity: Competitive Procedures Were Used ▼

Number of Proposals/Applications: 2

Assistance Description:  
(18,000 characters)\*

URI COOPERATIVE AGREEMENT

⌵

Assistance Info section is where the user indicates if competitive procedures were used and the number of proposals/applicants.

This is where user enters a brief description of what the financial assistance action is funding (i.e., the purpose of the award). Note never include Personal Identifiable Information (PII) or include information that is sensitive or could raise operational security concerns

Icon used to expand the Assistance Description block. Dynamic Feature!



# FICE Codes and Lookup Functions 1/3

SAMExcetion renamed to '2 CFR Part 25 Exception'

<b>Government Information</b>			
Awarding Sub-Tier Agency Code:	1700	Awarding Sub-Tier Agency Name:	DEPT OF THE NAVY
Awarding Office Code:	N00173	Awarding Office Name:	NAVAL RESEARCH LABORATORY
Funding Sub-Tier Agency Code:	1700	Funding Sub-Tier Agency Name:	DEPT OF THE NAVY
Funding Office Code:	N00173	Funding Office Name:	NAVAL RESEARCH LABORATORY
<b>Entity Information</b>			
2 CFR Part 25 Exception: None			
Unique Entity ID:	NFS9RNJVPMC7	Street:	75 LOWER COLLEGE RD
Legal Business Name:	UNIVERSITY OF RHODE ISLAND	Street2:	
DBAN:		City:	KINGSTON
CAGE Code:	3N251	State:	RI Zip: 028811974
FICE Code:	003414	Country Code:	USA UNITED STATES
Individual Entity Indicator:	Not an Individual	Phone:	4018742636
Small Business Indicator:	Other than Small Business	Fax No:	4018744272
		Congressional District:	RHODE ISLAND 02
<b>FABS Business Category</b> Show Details			
FABS Business Types			
✓ State Government			
✓ Public/State Controlled institution of Higher Learning			
<b>SAM Business Category</b> Show Details			
Business Types			
✓ U.S. State Government			
Line Of Business			
✓ Educational Institution			
Relationship With Federal Government			
✓ Both (Contracts and Grants)			
Educational Entities			
✓ 1862 Land Grant College			
✓ State Controlled Institution of Higher Learning			
<b>Principal Place of Performance</b>			
Principal Place of Performance Code: Single Zip Code Wide			
State Location Country			
USA			
Principal Place of Performance: MS			
Principal Place of Performance County Name: HANCOCK			
Principal Place of Performance City Name: STENNIS SPACE CENTER			
Principal Place of Performance Country Name: UNITED STATES			
Congressional District Place of Performance: MISSISSIPPI 04			
Place of Performance Zip Code(+4): 39529 - 0001 USPS ZIP Codes			
Principal Place of Performance Foreign Location Description: (100 characters)			

DoD Users are required to enter the FICE code for a recipient when the recipient is an Educational Institution or non-profit organization (FICE codes are assigned by NSF)  
(Lookup is provided)

# FICE Codes and Lookup Functions 2/3

To use a lookup function, enter the known information in the blanks provided and click 'Search'. Potential candidates are returned. Mark one and click 'Select'

The screenshot shows a window titled "FICE Code Selection". It contains a table with the following data:

Select	FICE Code	Institution Name	Type of Institution	City	State Name	ZIP Code
<input checked="" type="radio"/>	003414	UNIVERSITY OF RHODE ISLAND	ACADEMIC INSTITUTION	KINGSTON	RHODE ISLAND	02881

Below the table, it says "1 out of 1 items found." and "Find items that match the following criteria:". The search criteria are as follows:

FICE Code:	003414
Institution Name:	
Institution Type:	
City:	
State Name:	
ZIP Code:	

On the right side of the window, there are two sets of buttons. The top set includes "Select", "Cancel", and "Help" buttons. The bottom set includes "Search", "Clear", and "Help" buttons. Both sets of buttons are highlighted with a red rectangle.



# FICE Codes and Lookup Functions 3/3

The user is returned to the award entry screens and the selected code is populated

Government Information			
Awarding Sub-Tier Agency Code: *	1700	Awarding Sub-Tier Agency Name:	DEPT OF THE NAVY
Awarding Office Code: *	N00173	Awarding Office Name:	NAVAL RESEARCH LABORATORY
Funding Sub-Tier Agency Code: *	1700	Funding Sub-Tier Agency Name:	DEPT OF THE NAVY
Funding Office Code: *	N00173	Funding Office Name:	NAVAL RESEARCH LABORATORY
Entity Information			
2 CFR Part 25 Exception: * None			
Unique Entity ID: *	NFS9RNJVPMC7	Street:	75 LOWER COLLEGE RD
Legal Business Name:	UNIVERSITY OF RHODE ISLAND	Street2:	
DBAN:		City:	KINGSTON
CAGE Code:	3N251	State:	RI Zip: 028811974
FICE Code:	003414	Country Code:	USA UNITED STATES
Individual Entity Indicator:	Not an Individual	Phone:	4018742636
Small Business Indicator: *	Other than Small Business	Fax No:	4018744272
		Congressional District:	RHODE ISLAND 02

# Non-Aggregate– Individual Validation Rules

## Block Grant 1/3

This record is demonstrating the application of system business rules when the Validate button is selected prior to Approving the completed record

If errors are found, there is a message shown at the top of the entry screen and specific data elements where the error is are changed to red font

HOME Advanced Search SEARCH BY FAIN / URI GO Log Off

New Save Draft Validate Approve Save Amend Save Tmpl Delete Print

Close Out Exit Help

The last transaction could not be completed successfully.

1. Mandatory element: "assistanceDescription" is missing for the Financial Assistance.  
2. Mandatory element: "periodOfPerformanceEndDate" is missing for the Financial Assistance.

Transaction Information

Record Type: Non-Aggregate Individual Created Date: 06/17/2021 10:25:06 Created By: DKERNERDOD

Award Status: Final Approved Date: 06/24/2021 11:03:10 Approved By: BDANNER\_ASSISTANCE

Assistance Type: Direct Loan Last Modified Date: 04/14/2022 23:30:10 Last Modified By: DODADMIN2

Closed Status: No Closed Status Date: Closed By:

Last FAB\$ Sent Date: 06/24/2021 14:41:40

Award Identification Information

Agency: FAIN Amendment Number: 0

Award ID: 9700 FA8650213DK05

URI:

Action Type: NEW Assistance Award

Assistance Listing: 10.001 AGRICULTURAL RESEARCH BASIC AND APPLIED RESEARCH

Funding Opportunity Number: Business Fund Indicator: Funded by Other sources

Funding Opportunity Goals Text: (18,000 characters)

State Application ID (SAI) Number: Select One

Dates

Action Date (mm/dd/yyyy): 01/01/2021

Period of Performance Start Date (mm/dd/yyyy): 01/01/2021

Period of Performance End Date (mm/dd/yyyy):

Amounts

Federal Action Obligation: \$0.00

Total Federal Award Value: \$0.00

Indirect Cost Federal Share Amount: \$0.00

Non-Federal Funding Amount: \$0.00

Face Value of Direct Loan or Loan Guarantee: \$0.00

Original Loan Subsidy Cost: \$0.00

Research and Development Funds

Research and Development Funds Indicator: No R&D Funds Type of R&D Funds: Not Applicable

Government Information

Non-Aggregate Individual reports are used only in circumstances when the user is awarding an action to an individual in the general public (sole proprietors are not considered individuals)

(Note–DoD would very rarely make use of NonAggregate Individual)

Non-Aggregate Individual records are similar to non-aggregate records in the input screens with two exceptions:  
No Entity info, No Place of Performance collected

# Non-Aggregate– Individual Validation Rules

## Block Grant 2/3

Because it is an Individual record, the recipient is not required to be registered in SAM and the Recipient Info section is greyed out–‘Redacted due to PII’ is populated in the Name field; users will still be required to enter the recipient’s country

Entity Information

2 CFR Part 25 Exception: Individuals

Unique Entity ID: [Redacted]

Legal Business Name: REDACTED DUE TO PII

DBA: [Redacted]

CAGE Code: [Redacted]

FICE Code: [Redacted]

Individual Entity Indicator: Individual

Small Business Indicator: Other than Small Business

Street: [Redacted]

Street2: [Redacted]

City: DURHAM

State: NH

Zip: 038242620

Country Code: USA

Phone: [Redacted]

Fax No: [Redacted]

Congressional District: NEW HAMPSHIRE 01

FABS Business Category

FABS Business Types

Individual

SAM Business Category

Entity is not selected.

Principal Place of Performance

Principal Place of Performance Code: Select One

Principal Place of Performance: [Redacted]

Principal Place of Performance County Name: [Redacted]

Principal Place of Performance City Name: [Redacted]

Principal Place of Performance Country Name: [Redacted]

Congressional District Place of Performance: [Redacted]

Place of Performance Zip Code(+4): [Redacted]

Principal Place of Performance Foreign Location Description: (100 characters)

Place of Performance is not collected for Individual records

Since there isn't a SAM record for an Individual record, the system leaves the SAM section blank and prefills the FABS business type with 'Individual'

# Non-Aggregate– Individual Validation Rules

## Block Grant 3/3

Assistance Information

Completed Opportunity: Select One

Number Of Proposals/Applications:

Assistance Description:  
(4,000 characters)\*

Competition Information is not entered on Non-Aggregate– Individual records

The user still enters a brief description of what the financial assistance action is funding (i.e., the purpose of the award). Note—never include Personal Identifiable Information (PII) or include information that is sensitive or could raise operational security concerns

This example shows a validation error in the field because it was left blank (see the validation error message from two slides before)